

Introduction to Finite Automata

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- 2 New From Old
- 3 Non-Deterministic vs. Deterministic
- 4 New From Old (again)
- 5 Next Class

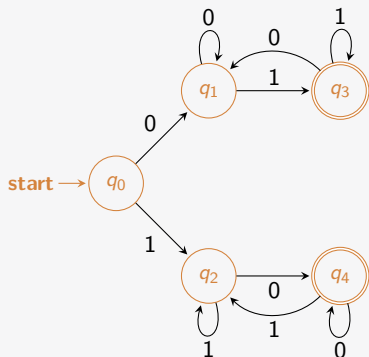


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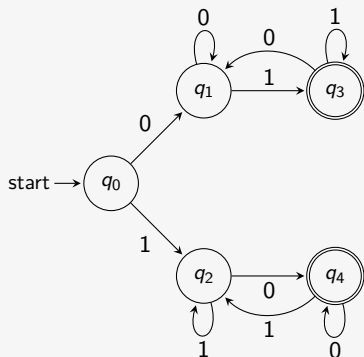
State Diagram and Finite Automaton



- **States:** $Q = \{q_0, q_1, q_2, q_3, q_4\}$



State Diagram and Finite Automaton

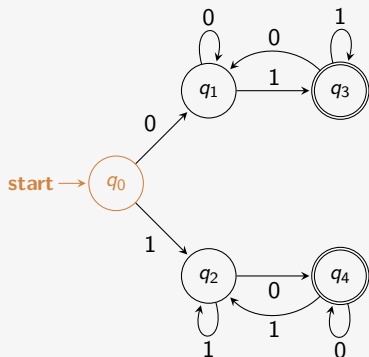


• States: $Q = \{q_0, q_1, q_2, q_3, q_4\}$

• Alphabet: $\Sigma = \{0, 1\}$



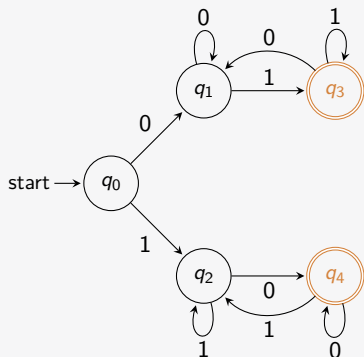
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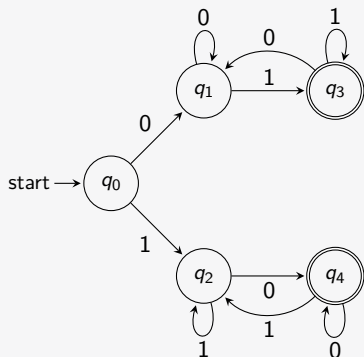
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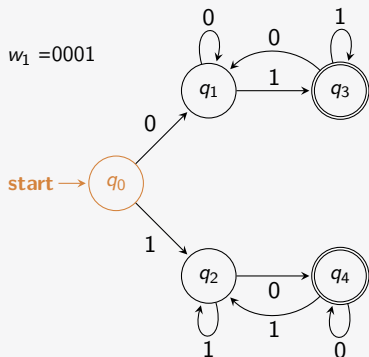
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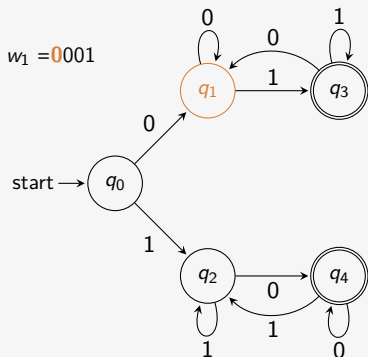
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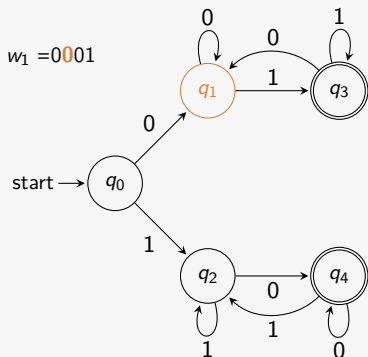
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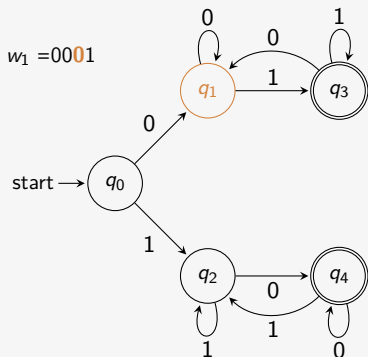
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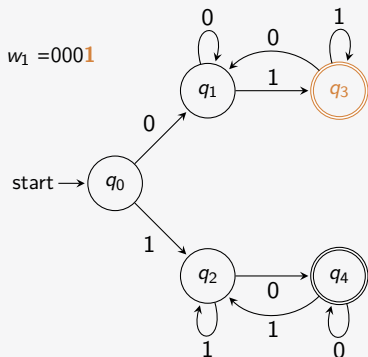
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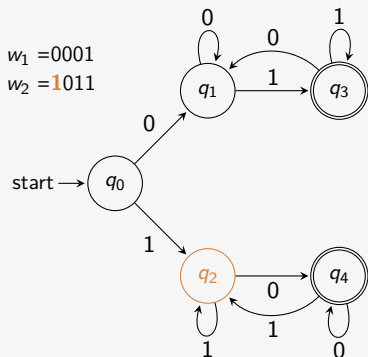
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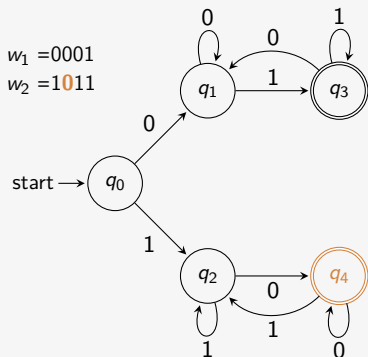
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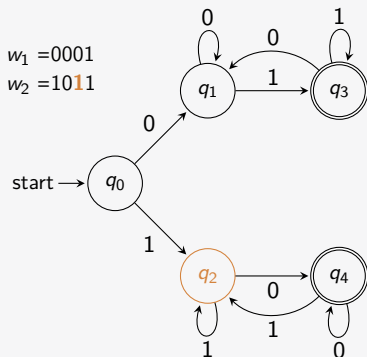
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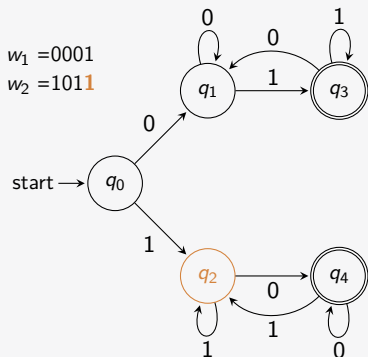
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Formal Definition

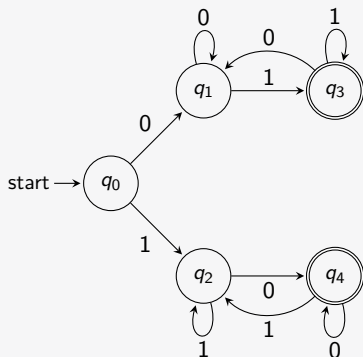
Definition (Finite Automaton)

A **finite automaton** is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

- 1 Q is a finite set of **states**,
- 2 Σ is a finite **alphabet**,
- 3 $\delta : Q \times \Sigma \rightarrow Q$ is the **transition function**,
- 4 $q_0 \in Q$ is the **start or initial state**, and
- 5 $F \subseteq Q$ is the set of **accept or final states**.



Transition Function

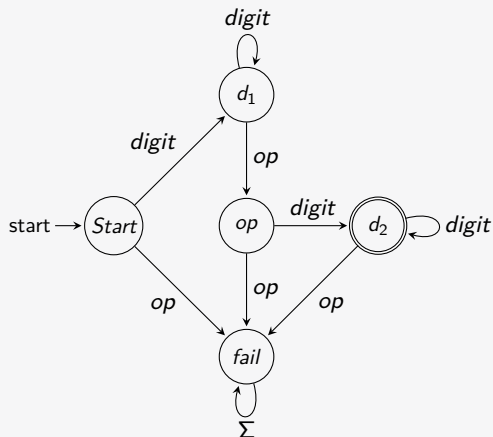


$$\delta : Q \times \Sigma \rightarrow Q$$

δ	0	1
q_0	q_1	q_2
q_1	q_1	q_3
q_2	q_4	q_2
q_3	q_1	q_3
q_4	q_4	q_2



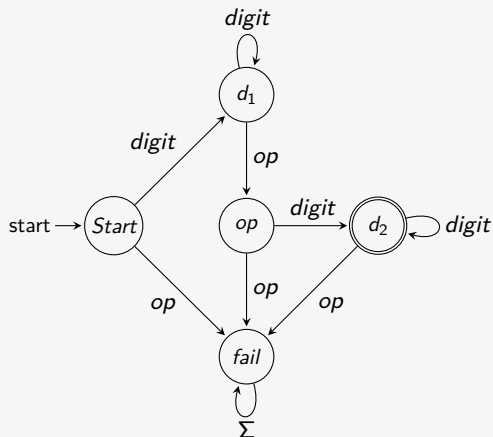
Another Example



- $Q = \{Start, d_1, d_2, op, fail\}$
- $\Sigma = op \cup digit$
 - $op = \{+, -, \times\}$
 - $digit = \{0, 1, 2, \dots, 9\}$
- $F = \{d_2\}$
- $L = ?$



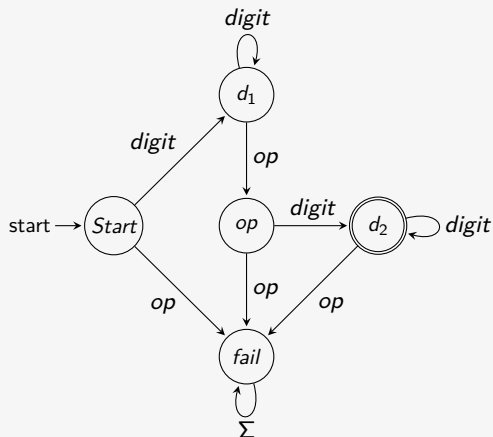
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- $w = 25 + 362$



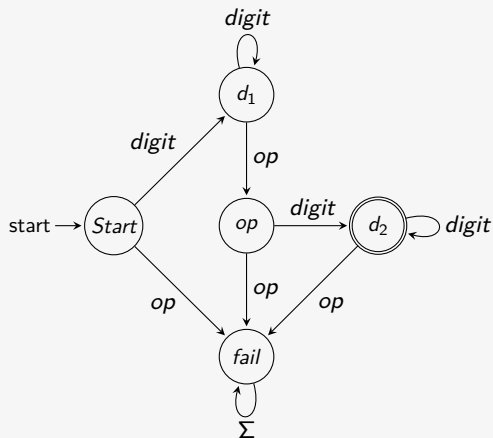
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- $w = 25 + 362$
- $w = \times 35 - 67$



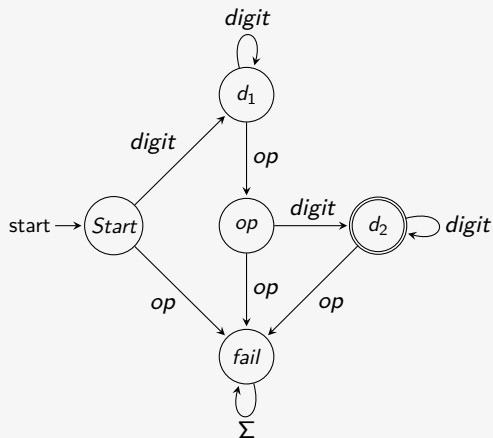
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- $w = 25 + 362$
- $w = \times 35 - 67$
- $w = 265 - 456 \times 18$



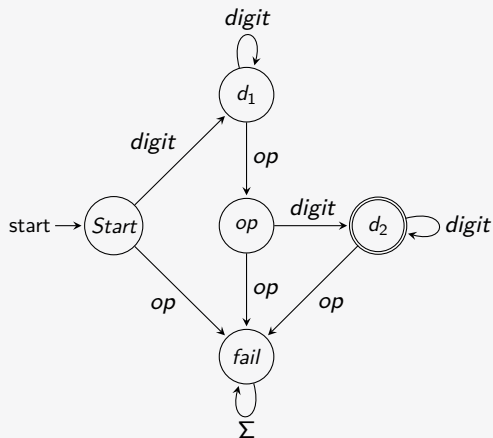
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- $L = \text{Combine two integers}$
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The language L is called a **regular language** because it is recognized by a finite automaton.



Satisfying a Description

Problem

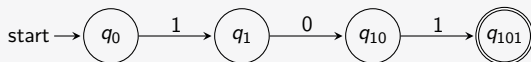
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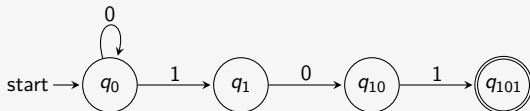
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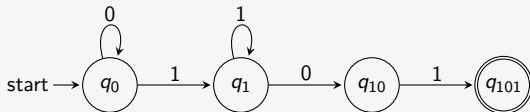
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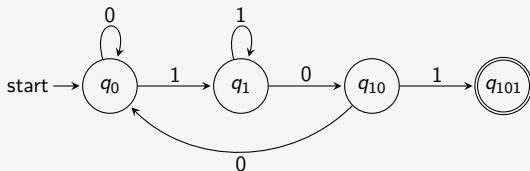
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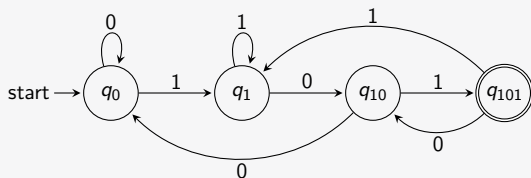
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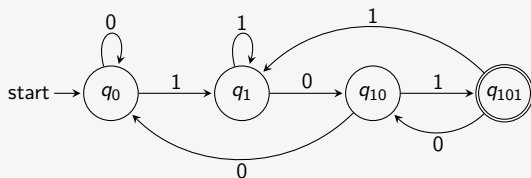
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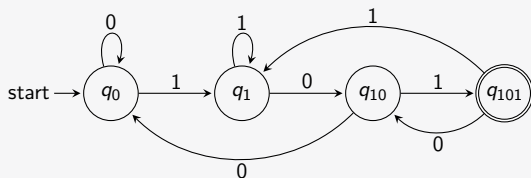
Check what happens to 101 independent of where we start.



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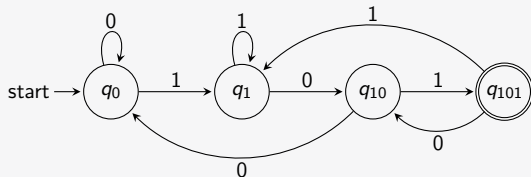
Check what happens to 010 independent of where we start.



Satisfying a Description

Problem

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Note that this means we don't need to “remember” the whole string to check its ending.



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Creating New Automata

Definition

Let A and B be regular languages. We define the regular operations **union**, **concatenation**, and **star** as follows:

- **Union:** $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$
- **Concatenation:** $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$
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- $A^* = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, \dots\}$



Details on Union

Given to finite automata

$$M_1 = (Q_1, \Sigma_1, \delta_1, q_1, F_1) \text{ and } M_2 = (Q_2, \Sigma_2, \delta_2, q_2, F_2)$$

their union is constructed as follows:

- $Q = Q_1 \times Q_2$



Details on Union

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- $\Sigma = \Sigma_1 \cup \Sigma_2$
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Details on Union

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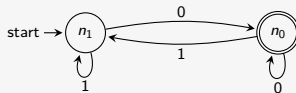
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- $q_0 = (q_1, q_2)$
- $F = \{(r_1, r_2) | r_1 \in F_1 \text{ or } r_2 \in F_2\}$

(Note $r_1 \in F_1$ and $r_2 \in F_2$ would be intersection)



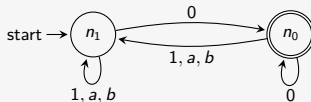
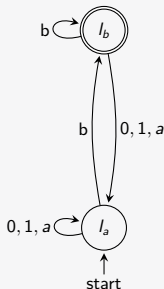
Union Example

What words do each of these DFAs recognize? Before we begin we will need to adjust them to use the same alphabet.

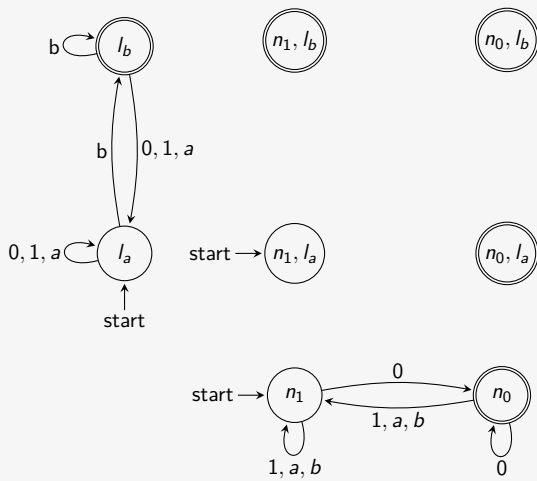


Union Example

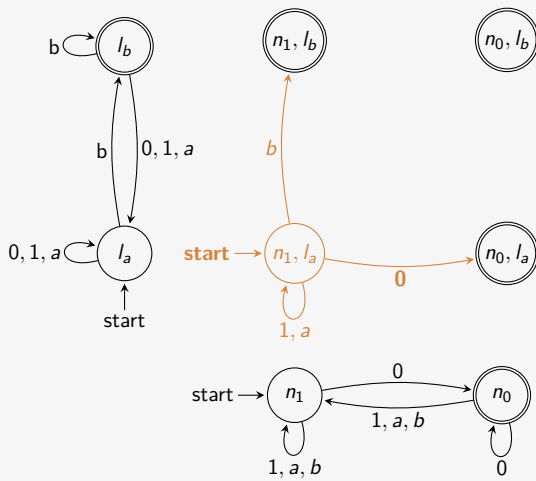
To simplify the problem we change one to accept words ending in 0 and the other to be words ending in b. This changes the problem slightly but makes a cleaner example.



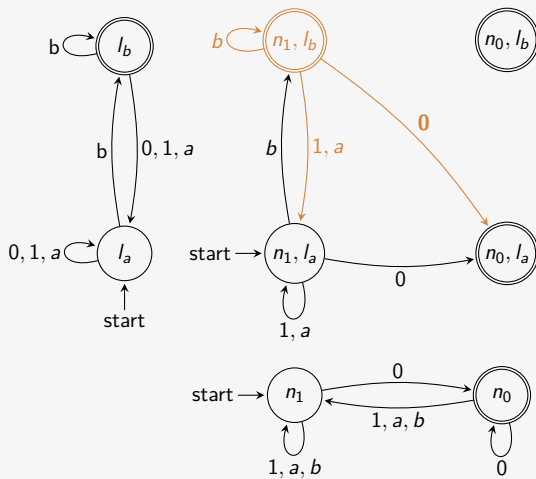
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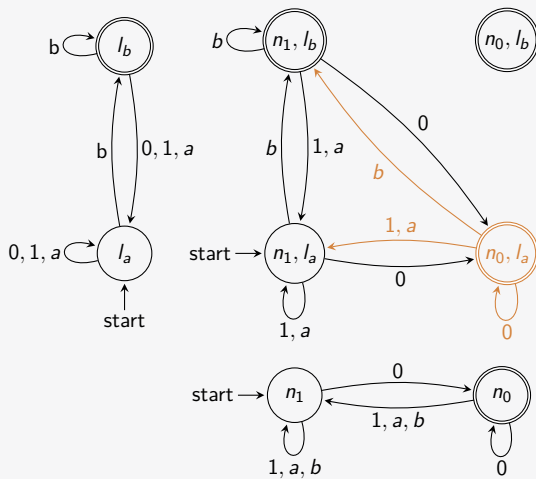
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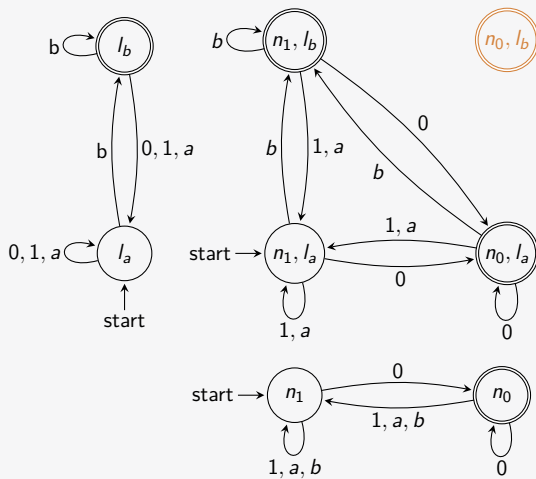
Union Example



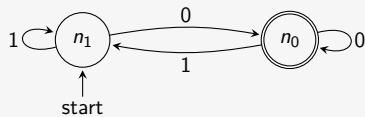
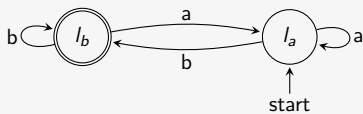
Union Example



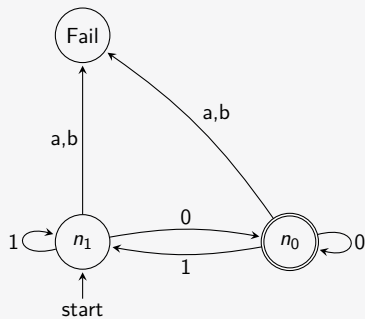
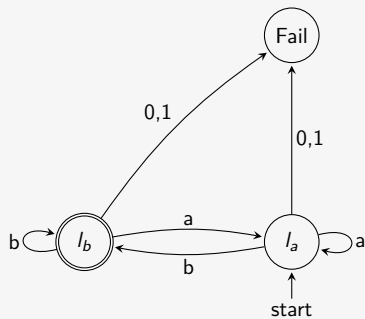
Union Example



Union Example Take Two



Union Example Take Two



Union Example Take Two

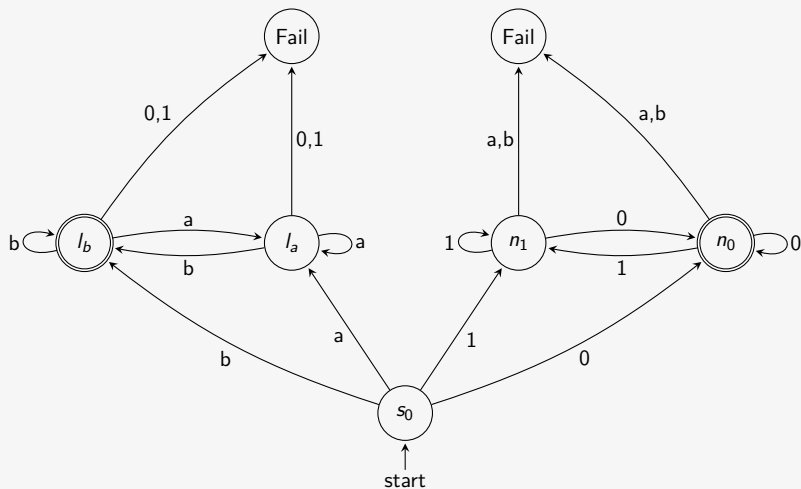
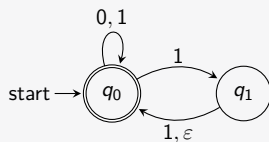


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- 3 Non-Deterministic vs. Deterministic**
- 4 New From Old (again)
- 5 Next Class



Non-Deterministic Automata

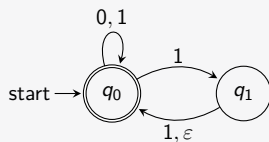


δ	0	1	ε
q_0	$\{q_0\}$	$\{q_0, q_1\}$	$\emptyset$
q_1	$\emptyset$	$\{q_0\}$	$\{q_0\}$

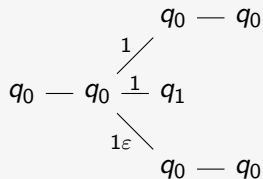
$w = 010$



Non-Deterministic Automata



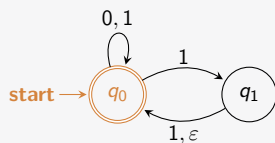
δ	0	1	ε
q_0	$\{q_0\}$	$\{q_0, q_1\}$	$\emptyset$
q_1	$\emptyset$	$\{q_0\}$	$\{q_0\}$



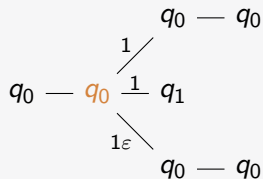
$w = 010$



Non-Deterministic Automata



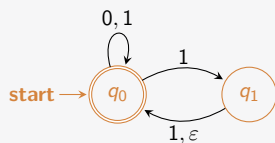
δ	0	1	ε
q_0	$\{q_0\}$	$\{q_0, q_1\}$	$\emptyset$
q_1	$\emptyset$	$\{q_0\}$	$\{q_0\}$



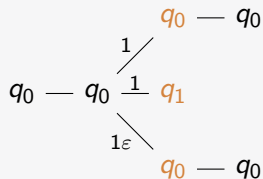
$w = 010$



Non-Deterministic Automata



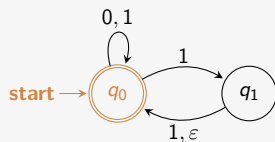
δ	0	1	ε
q_0	$\{q_0\}$	$\{q_0, q_1\}$	$\emptyset$
q_1	$\emptyset$	$\{q_0\}$	$\{q_0\}$



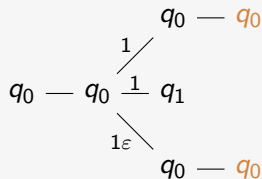
$w = 010$



Non-Deterministic Automata



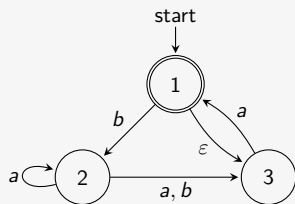
δ	0	1	ε
q_0	$\{q_0\}$	$\{q_0, q_1\}$	$\emptyset$
q_1	$\emptyset$	$\{q_0\}$	$\{q_0\}$



$w = 010$



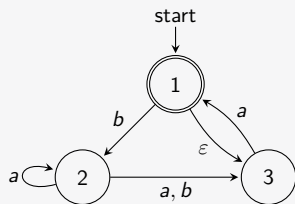
Equivalence to Deterministic (Slide 1)



δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



Equivalence to Deterministic (Slide 1)

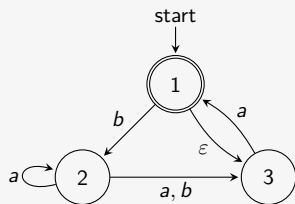


δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

- $Q = \{1, 2, 3\}$
- $\Sigma_\epsilon = \{a, b, \epsilon\}$
- $Start = \{1\}$
- $F = \{1\}$



Equivalence to Deterministic (Slide 1)



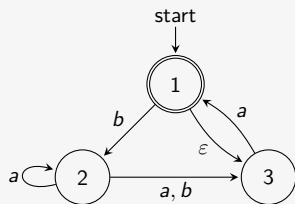
δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

• $Q' = \mathcal{P}(Q)$ (Power set of Q)

- $Q = \{1, 2, 3\}$
- $\Sigma_\varepsilon = \{a, b, \varepsilon\}$
- $Start = \{1\}$
- $F = \{1\}$



Equivalence to Deterministic (Slide 1)



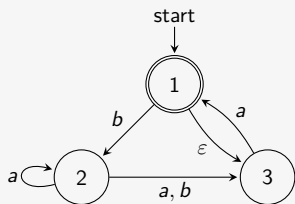
δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

- $Q = \{1, 2, 3\}$
- $\Sigma_\varepsilon = \{a, b, \varepsilon\}$
- $Start = \{1\}$
- $F = \{1\}$

- $Q' = \mathcal{P}(Q)$ (Power set of Q)
- $\Sigma' = \{a, b\}$



Equivalence to Deterministic (Slide 1)



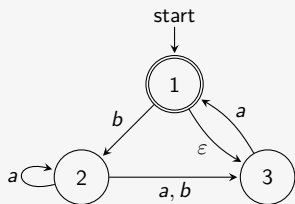
δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

- $Q = \{1, 2, 3\}$
- $\Sigma_\varepsilon = \{a, b, \varepsilon\}$
- $Start = \{1\}$
- $F = \{1\}$

- $Q' = \mathcal{P}(Q)$ (Power set of Q)
- $\Sigma' = \{a, b\}$
- $E(\{1\}) = \{1, 3\}$ (states reached from 1 using ε)



Equivalence to Deterministic (Slide 1)



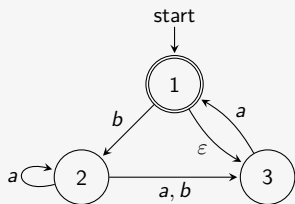
- $Q = \{1, 2, 3\}$
- $\Sigma_\epsilon = \{a, b, \epsilon\}$
- $Start = \{1\}$
- $F = \{1\}$

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2, 3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

- $Q' = \mathcal{P}(Q)$ (Power set of Q)
- $\Sigma' = \{a, b\}$
- $E(\{1\}) = \{1, 3\}$ (states reached from 1 using ϵ)
- **$Start = \{1, 3\}$**



Equivalence to Deterministic (Slide 1)

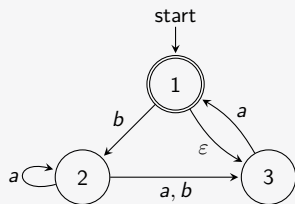


δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

- $Q = \{1, 2, 3\}$
- $\Sigma_\varepsilon = \{a, b, \varepsilon\}$
- $Start = \{1\}$
- $F = \{1\}$
- $Q' = \mathcal{P}(Q)$ (Power set of Q)
- $\Sigma' = \{a, b\}$
- $E(\{1\}) = \{1, 3\}$ (states reached from 1 using ε)
- $Start = \{1, 3\}$
- $F' = \{\{1\}, \{1, 2\}, \{1, 3\}, \{1, 2, 3\}\}$



Equivalence to Deterministic (Slide 1)



δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

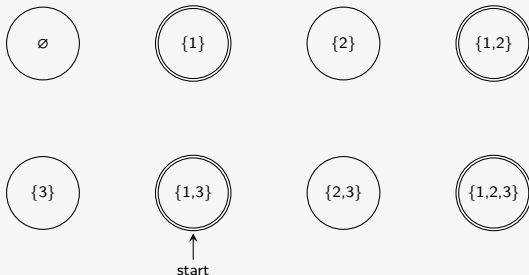
- $Q = \{1, 2, 3\}$
- $\Sigma_\varepsilon = \{a, b, \varepsilon\}$
- $Start = \{1\}$
- $F = \{1\}$

- $Q' = \mathcal{P}(Q)$ (Power set of Q)
- $\Sigma' = \{a, b\}$
- $E(\{1\}) = \{1, 3\}$ (states reached from 1 using ε)
- $Start = \{1, 3\}$
- $F' = \{\{1\}, \{1, 2\}, \{1, 3\}, \{1, 2, 3\}\}$
- $\delta' = ?$



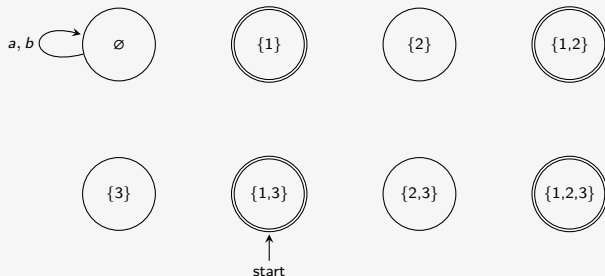
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



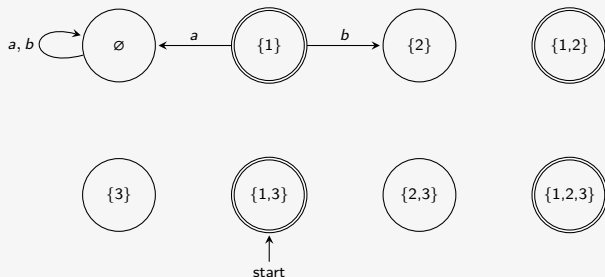
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



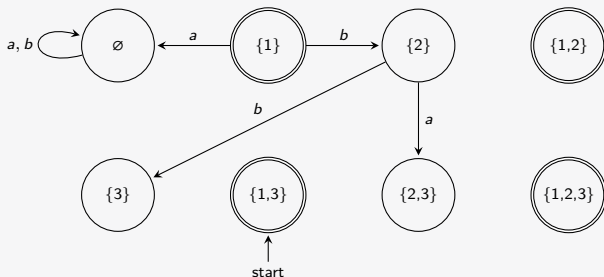
Equivalence to Deterministic (Slide 2)

δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



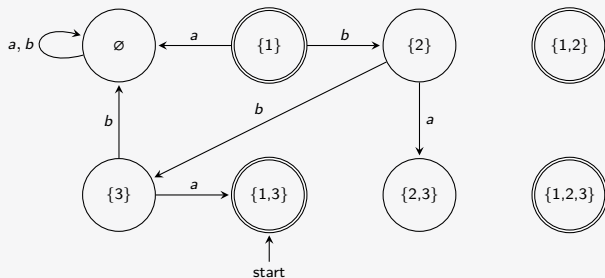
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



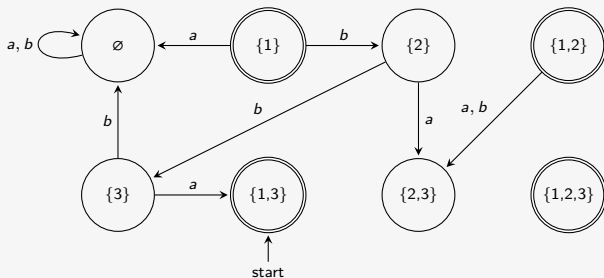
Equivalence to Deterministic (Slide 2)

δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



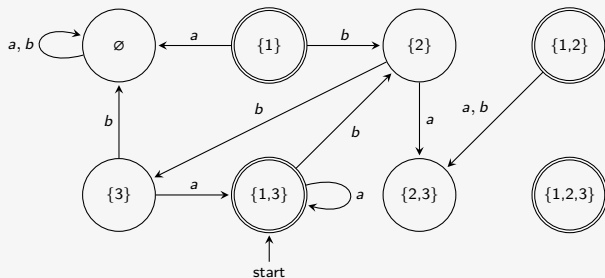
Equivalence to Deterministic (Slide 2)

δ	a	b	ε
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
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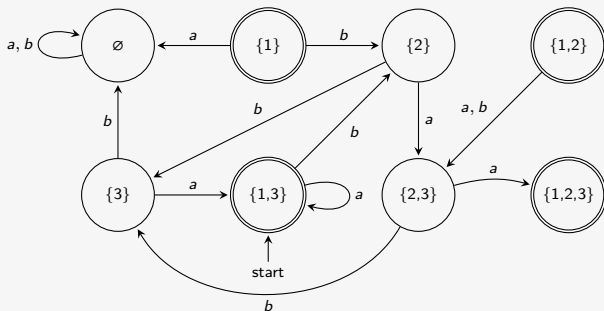
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
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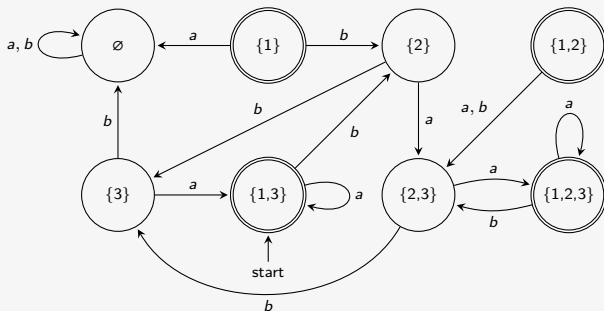
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



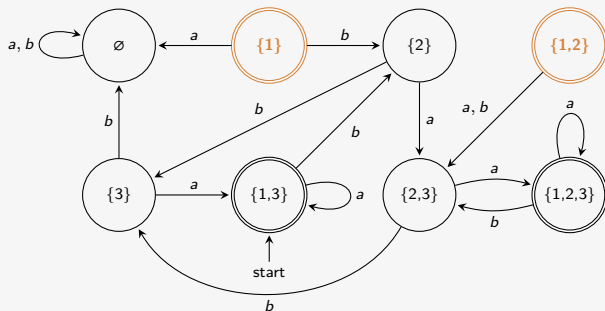
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$



Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	$\emptyset$
3	$\{1\}$	$\emptyset$	$\emptyset$

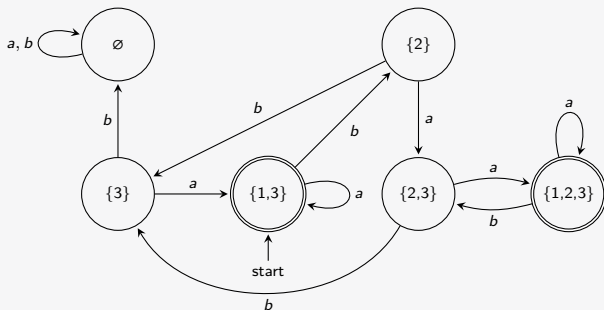


We can eliminate states that are only “sources.”



Equivalence to Deterministic (Slide 2)

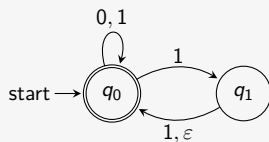
δ	a	b	ϵ
1	$\emptyset$	$\{2\}$	$\{3\}$
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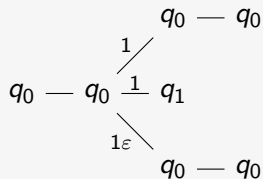
We can eliminate states that are only “sources.”



Non-Deterministic Automata



δ	0	1	ε
q_0	$\{q_0\}$	$\{q_0, q_1\}$	$\emptyset$
q_1	$\emptyset$	$\{q_0\}$	$\{q_0\}$



$w = 010$



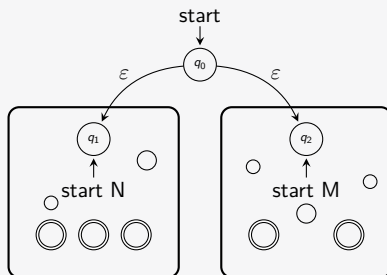
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Union Revisited: $A \cup B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **union** can be represented as below.

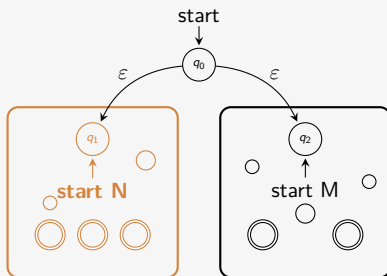


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \epsilon \\ \emptyset & q = q_0 \wedge a \neq \epsilon \end{cases}$$



Union Revisited: $A \cup B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **union** can be represented as below.

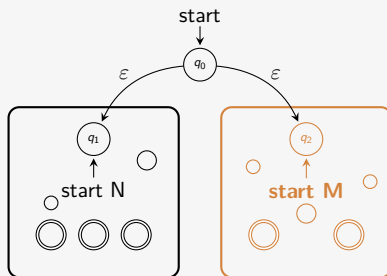


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \epsilon \\ \emptyset & q = q_0 \wedge a \neq \epsilon \end{cases}$$



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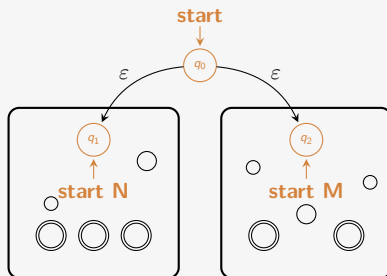


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \epsilon \\ \emptyset & q = q_0 \wedge a \neq \epsilon \end{cases}$$



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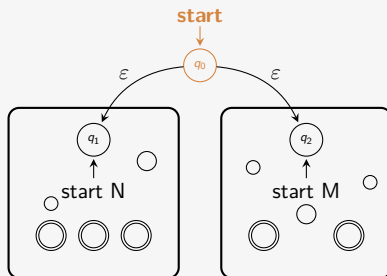


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



Union Revisited: $A \cup B$

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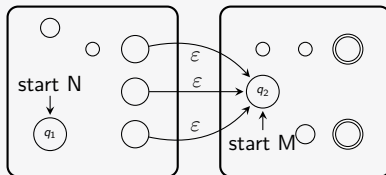


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



Concatenation: $A \circ B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **concatenation** can be represented as below.

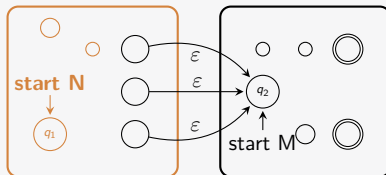


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \varepsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



Concatenation: $A \circ B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **concatenation** can be represented as below.

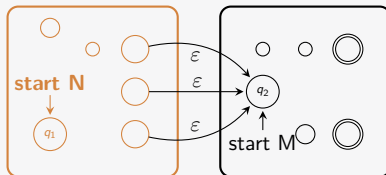


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \varepsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



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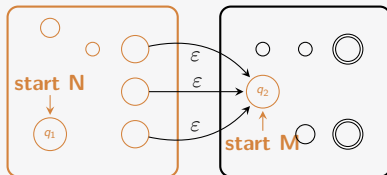


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \varepsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



Concatenation: $A \circ B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **concatenation** can be represented as below.

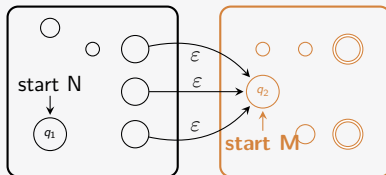


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \varepsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



Concatenation: $A \circ B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **concatenation** can be represented as below.

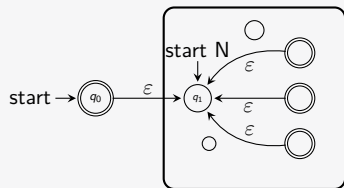


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \epsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \epsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



Star: A^*

Assume that the regular language A is represented by the finite automaton N then the unary **star**, $*$, operator applied to A can be represented as below.

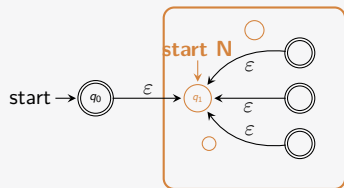


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge a \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \wedge a = \varepsilon \\ \{q_1\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



Star: A^*

Assume that the regular language A is represented by the finite automaton N then the unary **star**, $*$, operator applied to A can be represented as below.

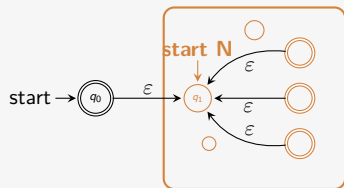


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge a \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \wedge a = \varepsilon \\ \{q_1\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



Star: A^*

Assume that the regular language A is represented by the finite automaton N then the unary **star**, $*$, operator applied to A can be represented as below.

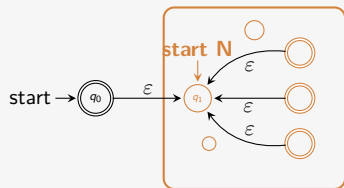


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge a \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \wedge a = \varepsilon \\ \{q_1\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



Star: A^*

Assume that the regular language A is represented by the finite automaton N then the unary **star**, $*$, operator applied to A can be represented as below.

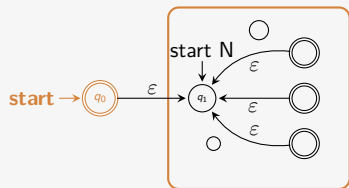


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge a \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \wedge a = \varepsilon \\ \{q_1\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



Star: A^*

Assume that the regular language A is represented by the finite automaton N then the unary **star**, $*$, operator applied to A can be represented as below.

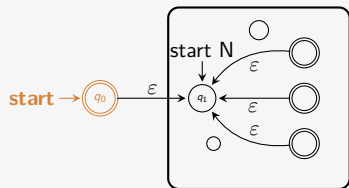


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge a \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \wedge a = \varepsilon \\ \{q_1\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



Star: A^*

Assume that the regular language A is represented by the finite automaton N then the unary **star**, $*$, operator applied to A can be represented as below.



$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge a \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \epsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \wedge a = \epsilon \\ \{q_1\} & q = q_0 \wedge a = \epsilon \\ \emptyset & q = q_0 \wedge a \neq \epsilon \end{cases}$$



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- 4 New From Old (again)
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Next Class

- Regular Expressions



Next Class

- Regular Expressions
- **Generalized Nondeterministic Finite Automata**



Next Class

- Regular Expressions
- Generalized Nondeterministic Finite Automata
- **Pumping Lemma**



Next Class

- Regular Expressions
- Generalized Nondeterministic Finite Automata
- Pumping Lemma
- **Nonregular Languages**



Introduction to Finite Automata

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