

Introduction to Finite Automata

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- 2 New From Old
- 3 Non-Deterministic vs. Deterministic
- 4 New From Old (again)
- 5 Next Class

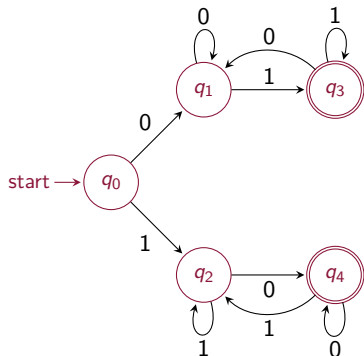


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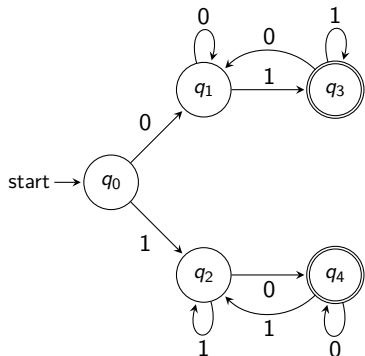
State Diagram and Finite Automaton



- States: $Q = \{q_0, q_1, q_2, q_3, q_4\}$



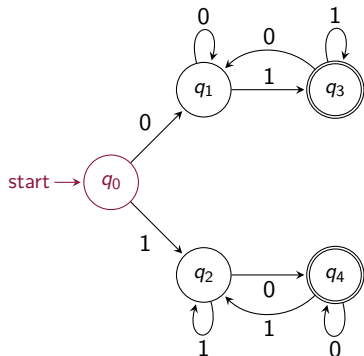
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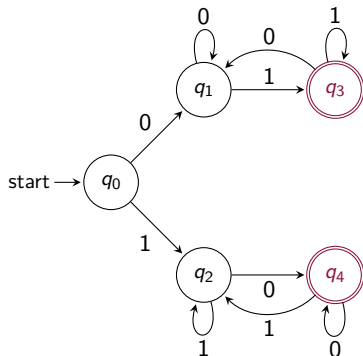
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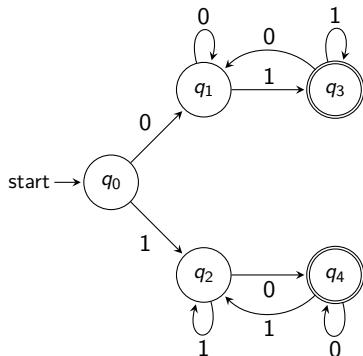
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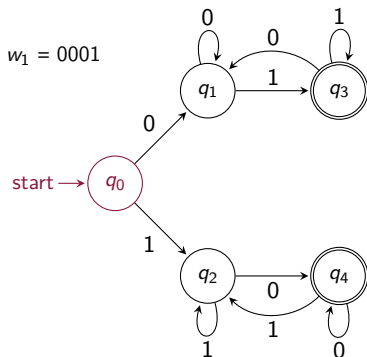
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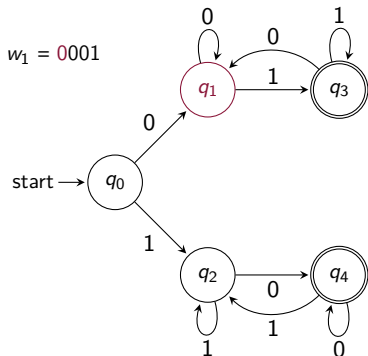
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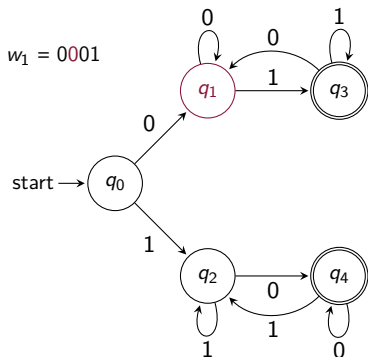
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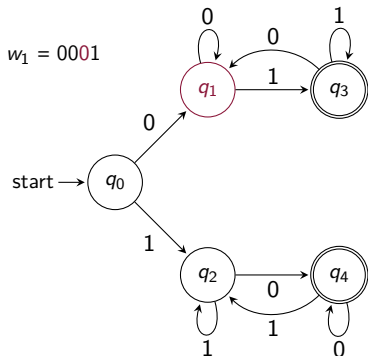
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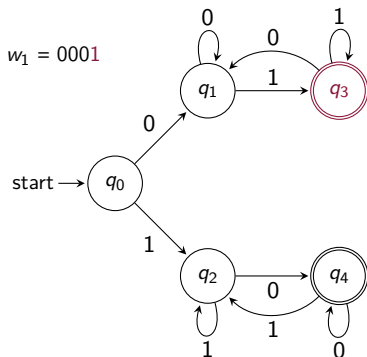
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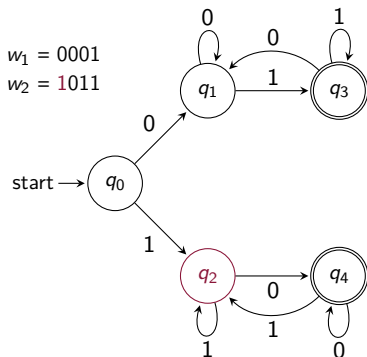
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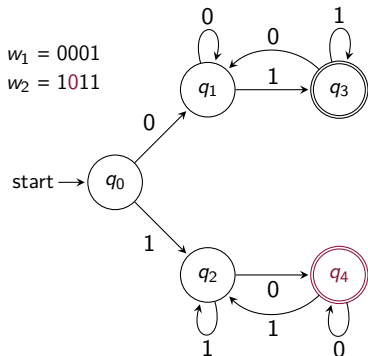
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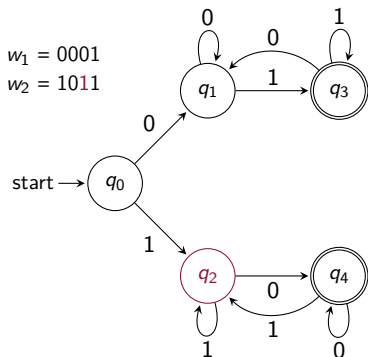
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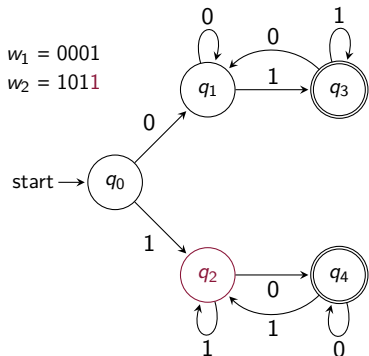
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Formal Definition

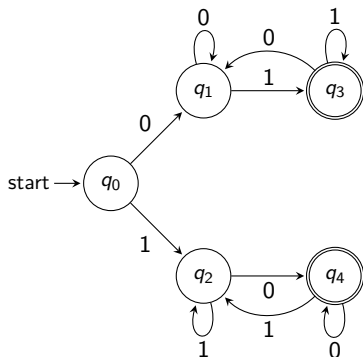
Definition (Finite Automaton)

A **finite automaton** is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

- 1 Q is a finite set of **states**,
- 2 Σ is a finite **alphabet**,
- 3 $\delta : Q \times \Sigma \rightarrow Q$ is the **transition function**,
- 4 $q_0 \in Q$ is the **start or initial state**, and
- 5 $F \subseteq Q$ is the set of **accept or final states**.



Transition Function

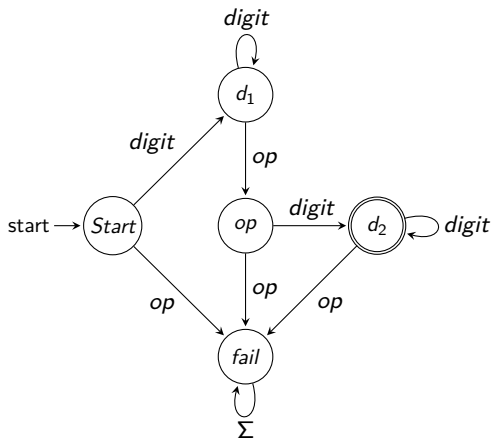


$$\delta : Q \times \Sigma \rightarrow Q$$

δ	0	1
q_0	q_1	q_2
q_1	q_1	q_3
q_2	q_4	q_2
q_3	q_1	q_3
q_4	q_4	q_2



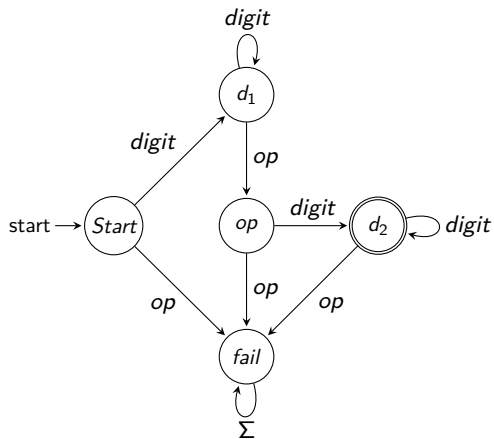
Another Example



- $Q = \{Start, d_1, d_2, op, fail\}$
- $\Sigma = op \cup digit$
 - $op = \{+, -, \times\}$
 - $digit = \{0, 1, 2, \dots, 9\}$
- $F = \{d_2\}$
- $L = ?$



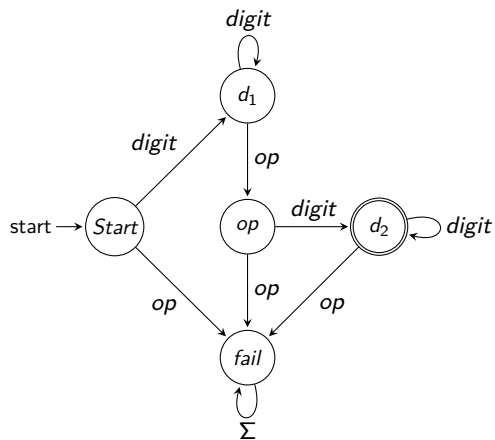
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- $L = ?$
- $w = 25 + 362$



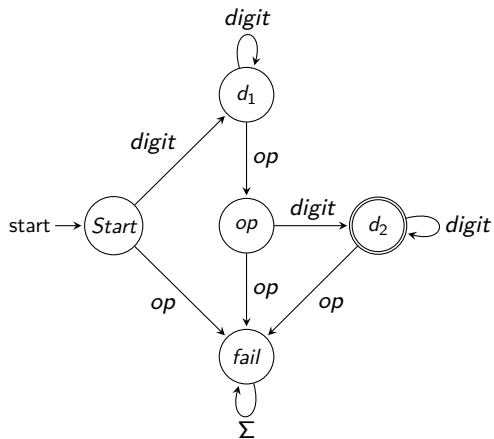
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- $w = 25 + 362$
- $w = \times 35 - 67$



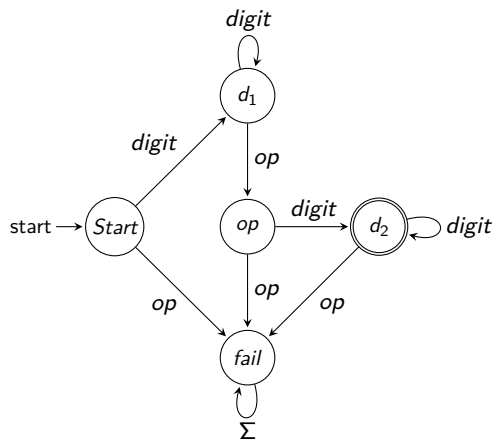
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- $w = 25 + 362$
- $w = \times 35 - 67$
- $w = 265 - 456 \times 18$



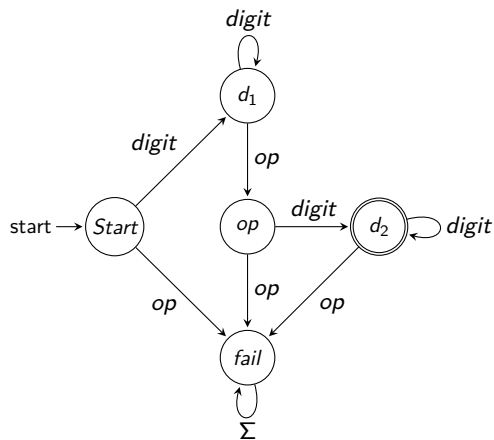
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The language L is called a **regular language** because it is recognized by a finite automaton.



Satisfying a Description

Problem

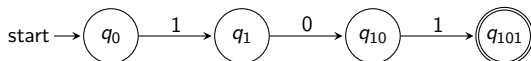
Construct a finite automaton that accepts only words in $\Sigma = \{0, 1\}$ ending in 101.



Satisfying a Description

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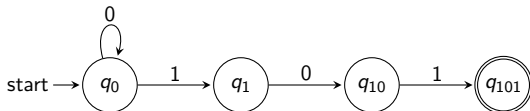
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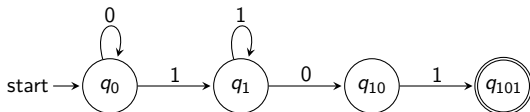
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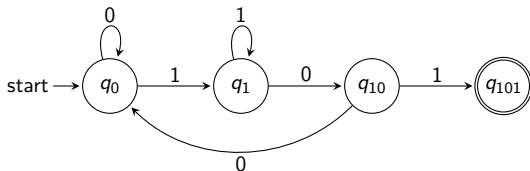
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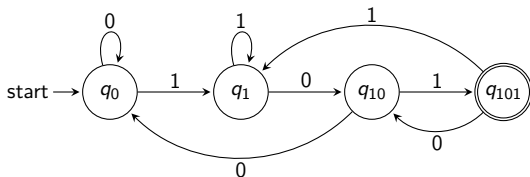
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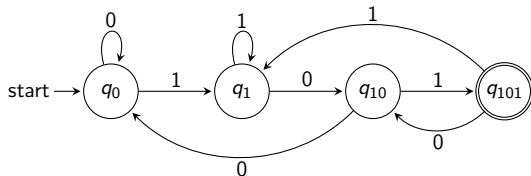
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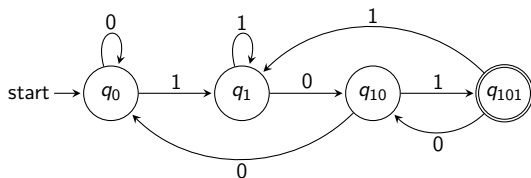
Check what happens to 101 independent of where we start.



Satisfying a Description

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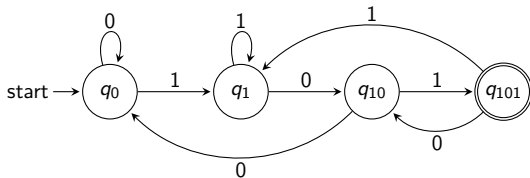
Check what happens to 010 independent of where we start.



Satisfying a Description

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Note that this means we don't need to "remember" the whole string to check its ending.



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Creating New Automata

Definition

Let A and B be regular languages. We define the regular operations **union**, **concatenation**, and **star** as follows:

- **Union:** $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$
- **Concatenation:** $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$
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Details on Union

Given two finite automata

$$M_1 = (Q_1, \Sigma_1, \delta_1, q_1, F_1) \text{ and } M_2 = (Q_2, \Sigma_2, \delta_2, q_2, F_2)$$

their union is constructed as follows:

- $Q = Q_1 \times Q_2$



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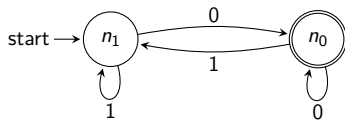
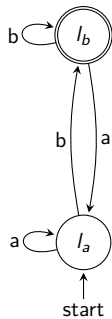
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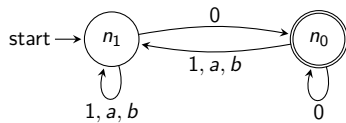
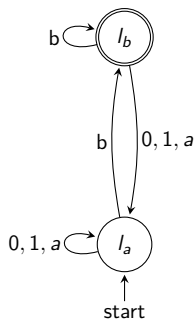
(Note $r_1 \in F_1$ and $r_2 \in F_2$ would be intersection)



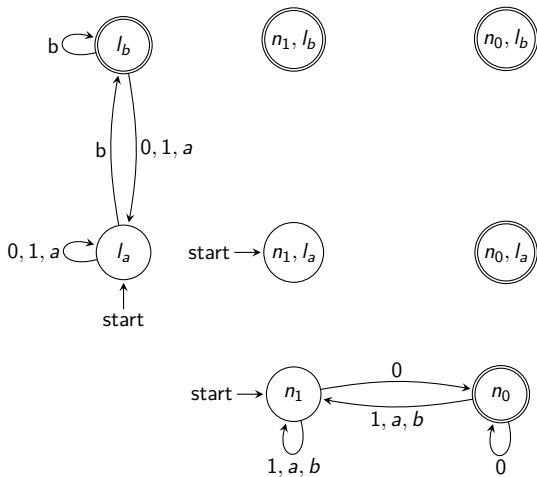
Union Example



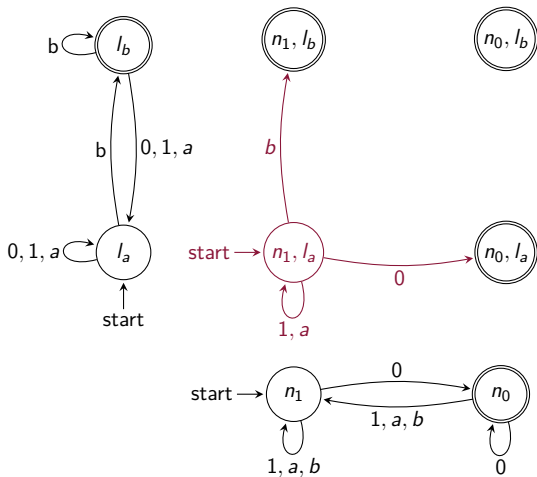
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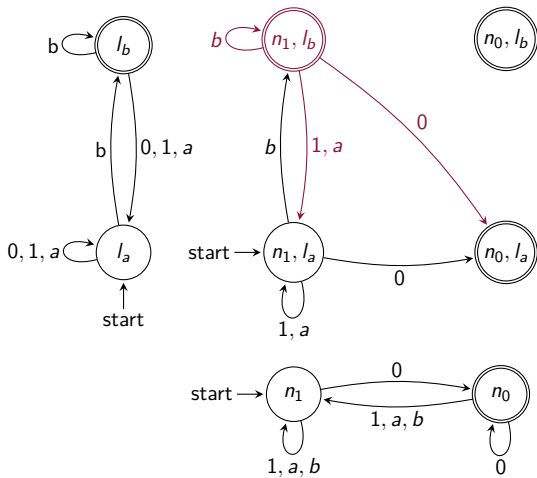
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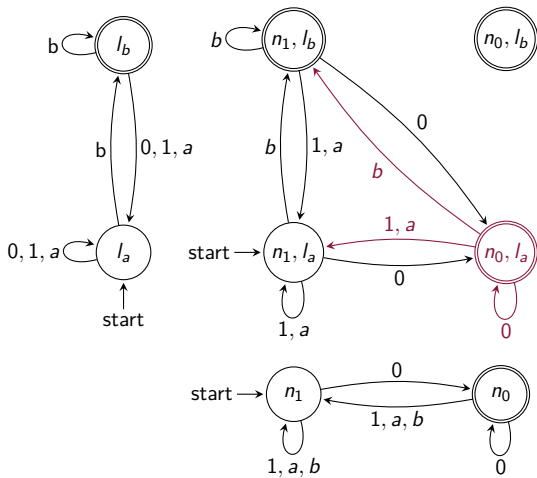
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Union Example

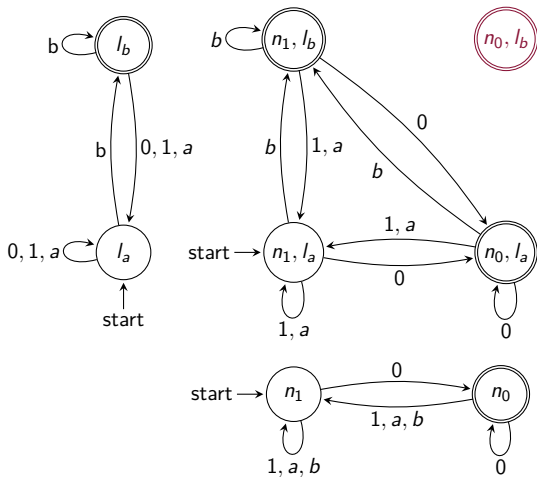
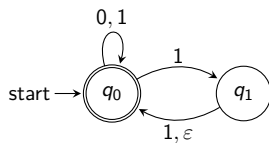


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Non-Deterministic Automata

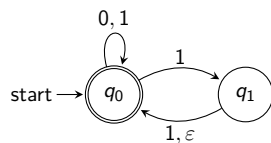


δ	0	1	ϵ
q_0	$\{q_0\}$	$\{q_0, q_1\}$	\emptyset
q_1	\emptyset	$\{q_0\}$	$\{q_0\}$

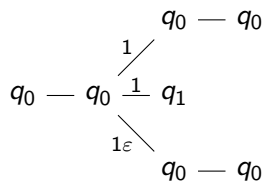
$w = 010$



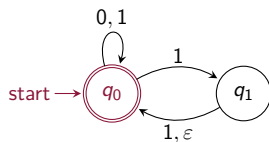
Non-Deterministic Automata



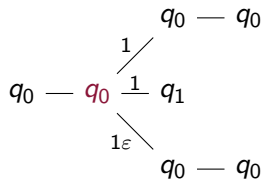
δ	0	1	ϵ
q_0	$\{q_0\}$	$\{q_0, q_1\}$	\emptyset
q_1	\emptyset	$\{q_0\}$	$\{q_0\}$


 $w = 010$

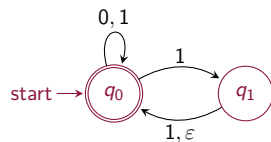

Non-Deterministic Automata



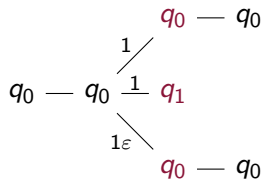
δ	0	1	ϵ
q_0	$\{q_0\}$	$\{q_0, q_1\}$	\emptyset
q_1	\emptyset	$\{q_0\}$	$\{q_0\}$

 $w = 010$ 

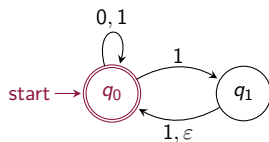
Non-Deterministic Automata



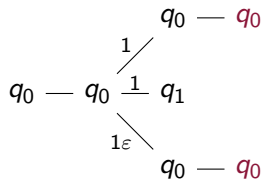
δ	0	1	ε
q_0	$\{q_0\}$	$\{q_0, q_1\}$	\emptyset
q_1	\emptyset	$\{q_0\}$	$\{q_0\}$

 $w = 010$ 

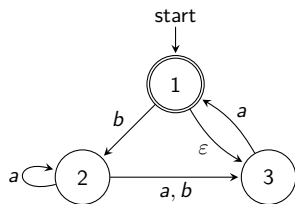
Non-Deterministic Automata



δ	0	1	ϵ
q_0	$\{q_0\}$	$\{q_0, q_1\}$	\emptyset
q_1	\emptyset	$\{q_0\}$	$\{q_0\}$

 $w = 010$ 

Equivalence to Deterministic (Slide 1)

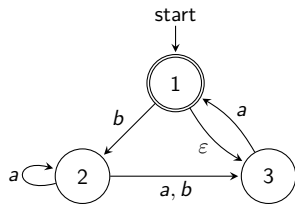


δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	\emptyset
3	$\{1\}$	\emptyset	\emptyset

- $Q' = \mathcal{P}(Q)$ (Power set of Q)
- $\Sigma' = \{a, b\}$
- $E(\{1\}) = \{1, 3\}$
- $Start = \{1, 3\}$
- $F' = \{\{1\}, \{1, 2\}, \{1, 3\}, \{1, 2, 3\}\}$
- $\delta' = ?$



Equivalence to Deterministic (Slide 1)



δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	\emptyset
3	$\{1\}$	\emptyset	\emptyset

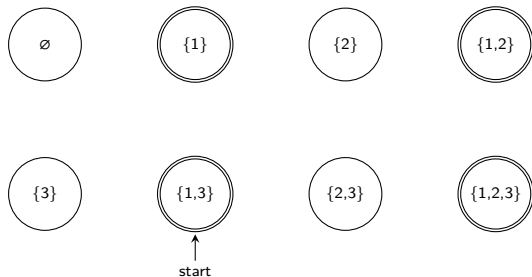
- $Q = \{1, 2, 3\}$
- $\Sigma_\epsilon = \{a, b, \epsilon\}$
- $Start = \{1\}$
- $F = \{1\}$

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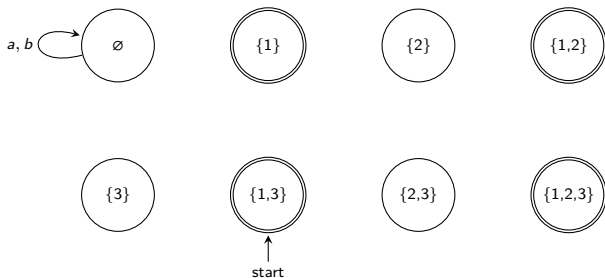
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	\emptyset
3	$\{1\}$	\emptyset	\emptyset



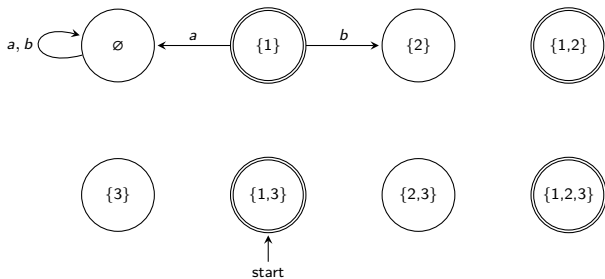
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
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3	$\{1\}$	\emptyset	\emptyset



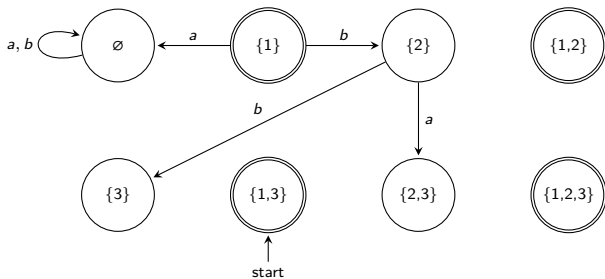
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	\emptyset
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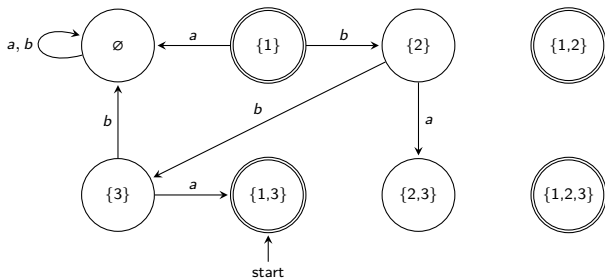
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
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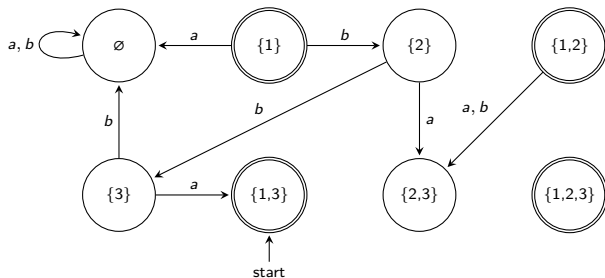
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	\emptyset
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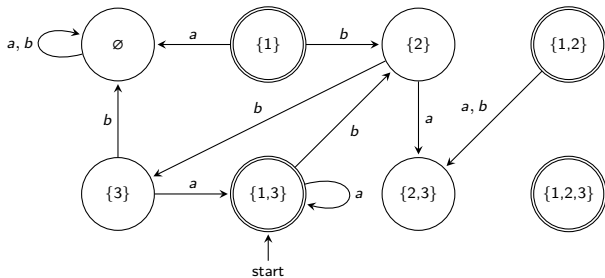
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
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3	$\{1\}$	\emptyset	\emptyset



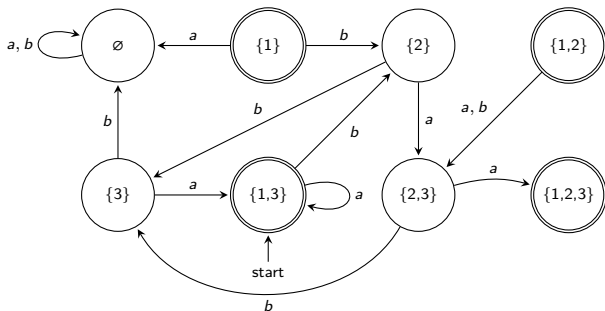
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
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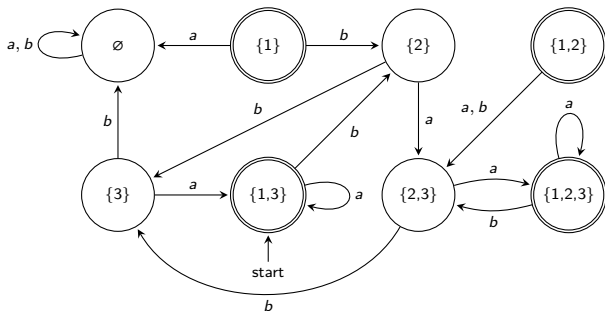
Equivalence to Deterministic (Slide 2)

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1	\emptyset	$\{2\}$	$\{3\}$
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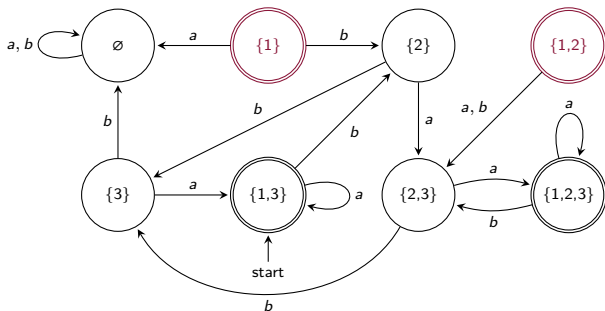
Equivalence to Deterministic (Slide 2)

δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
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δ	a	b	ϵ
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2	$\{2,3\}$	$\{3\}$	\emptyset
3	$\{1\}$	\emptyset	\emptyset

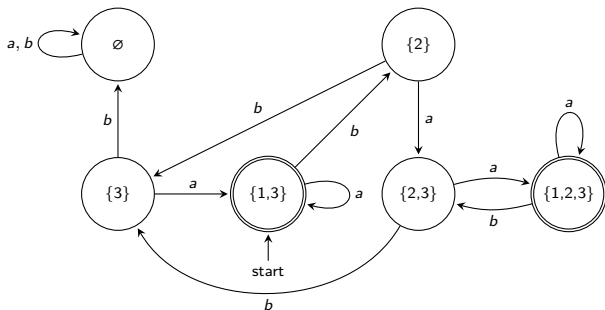


We can eliminate states that are only “sources.”



Equivalence to Deterministic (Slide 2)

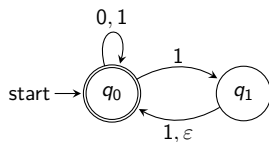
δ	a	b	ϵ
1	\emptyset	$\{2\}$	$\{3\}$
2	$\{2,3\}$	$\{3\}$	\emptyset
3	$\{1\}$	\emptyset	\emptyset



We can eliminate states that are only “sources.”



Non-Deterministic Automata



δ	0	1	ϵ
q_0	$\{q_0\}$	$\{q_0, q_1\}$	\emptyset
q_1	\emptyset	$\{q_0\}$	$\{q_0\}$

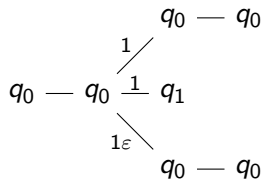
 $w = 010$ 

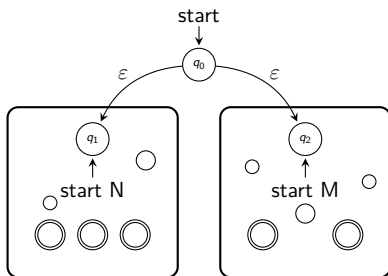
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Union Revisited: $A \cup B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **union** can be represented as below.

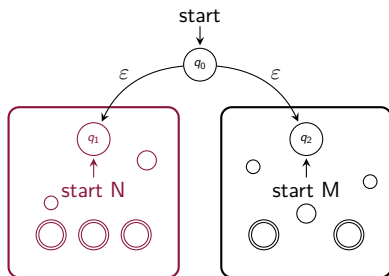


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \epsilon \\ \emptyset & q = q_0 \wedge a \neq \epsilon \end{cases}$$



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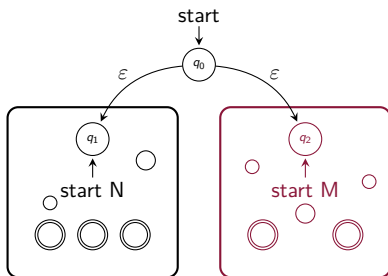


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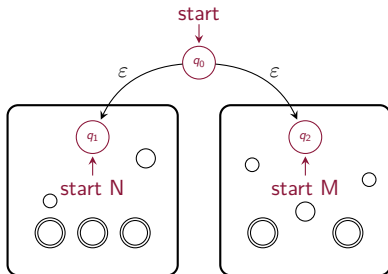


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \epsilon \\ \emptyset & q = q_0 \wedge a \neq \epsilon \end{cases}$$



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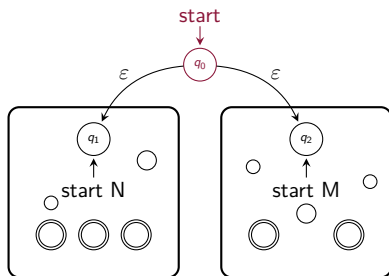


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \\ \delta_2(q, a) & q \in Q_2 \\ \{q_1, q_2\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



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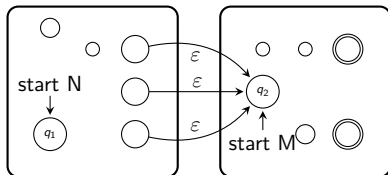


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Concatenation: $A \circ B$

Assume that the regular language A is represented by the finite automaton N and the regular language B is represented by the finite automaton M , then their **concatenation** can be represented as below.

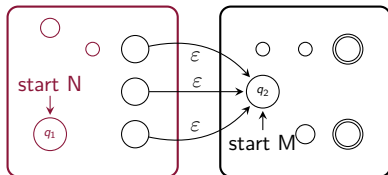


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \epsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \epsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



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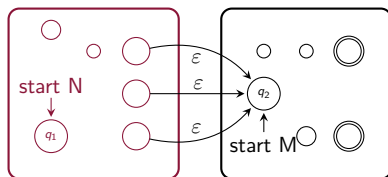


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \epsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \epsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



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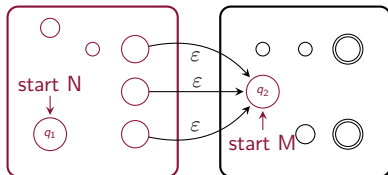


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge q \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \epsilon \\ \delta_1(q, a) \cup \{q_2\} & q \in F_1 \wedge a = \epsilon \\ \delta_2(q, a) & q \in Q_2 \end{cases}$$



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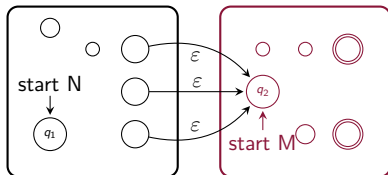


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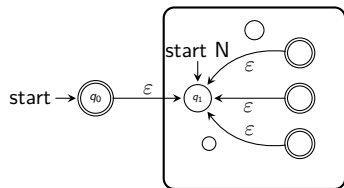


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Star: A^*

Assume that the regular language A is represented by the finite automaton N then the unary **star**, $*$, operator applied to A can be represented as below.

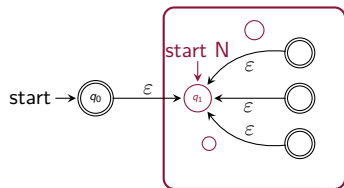


$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \in Q_1 \wedge a \notin F_1 \\ \delta_1(q, a) & q \in F_1 \wedge a \neq \varepsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \wedge a = \varepsilon \\ \{q_1\} & q = q_0 \wedge a = \varepsilon \\ \emptyset & q = q_0 \wedge a \neq \varepsilon \end{cases}$$



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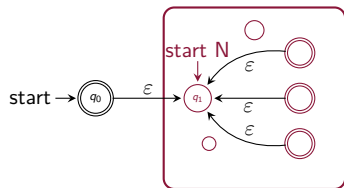


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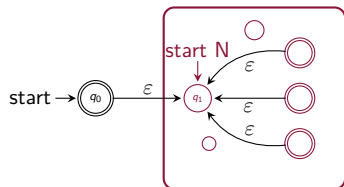


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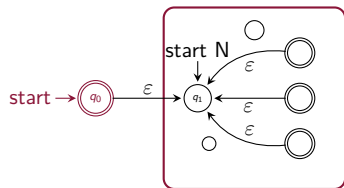


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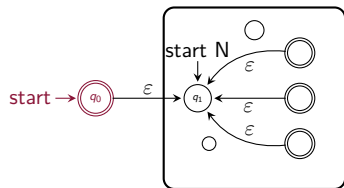


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Next Class

- Regular Expressions



Next Class

- Regular Expressions
- Generalized Nondeterministic Finite Automata



Next Class

- Regular Expressions
- Generalized Nondeterministic Finite Automata
- Pumping Lemma



Next Class

- Regular Expressions
- Generalized Nondeterministic Finite Automata
- Pumping Lemma
- Nonregular Languages



Introduction to Finite Automata

Dr. Chuck Rocca
roccac@wcsu.edu

<http://sites.wcsu.edu/roccac>

