

Regular Expressions and the Pumping Lemma

Dr. Chuck Rocca
roccac@wcsu.edu

<http://sites.wcsu.edu/roccac>



Table of Contents

- 1 Regular Expressions
- 2 Generalized NFAs
- 3 The Pumping Lemma
- 4 Nonregular Languages
- 5 Next Class

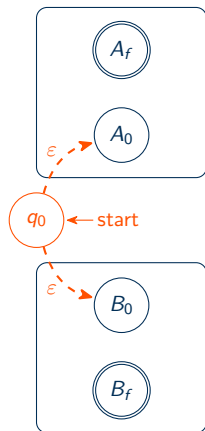
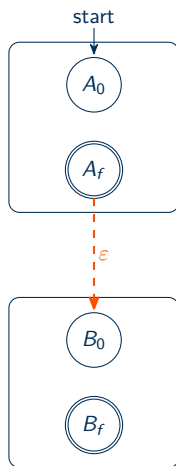
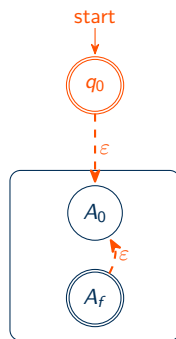


Table of Contents

- 1 Regular Expressions
- 2 Generalized NFAs
- 3 The Pumping Lemma
- 4 Nonregular Languages
- 5 Next Class



Review Combining NFAs

 $A \cup B$  $A \circ B$  A^* 

Basics of Regular Expressions

Definition (Regular Expression)

Given an alphabet Σ and regular languages A and B a *regular expression* is any combination of the following:

- $a \in \Sigma$



Basics of Regular Expressions

Definition (Regular Expression)

Given an alphabet Σ and regular languages A and B a *regular expression* is any combination of the following:

- $a \in \Sigma$
- ε the empty string



Basics of Regular Expressions

Definition (Regular Expression)

Given an alphabet Σ and regular languages A and B a *regular expression* is any combination of the following:

- $a \in \Sigma$
- ε the empty string
- \emptyset the language containing no strings at all



Basics of Regular Expressions

Definition (Regular Expression)

Given an alphabet Σ and regular languages A and B a *regular expression* is any combination of the following:

- $a \in \Sigma$
- ε the empty string
- \emptyset the language containing no strings at all
- Unions: $A \cup B = A|B$



Basics of Regular Expressions

Definition (Regular Expression)

Given an alphabet Σ and regular languages A and B a *regular expression* is any combination of the following:

- $a \in \Sigma$
- ε the empty string
- \emptyset the language containing no strings at all
- Unions: $A \cup B = A|B$
- Concatenation: $A \circ B = AB$



Basics of Regular Expressions

Definition (Regular Expression)

Given an alphabet Σ and regular languages A and B a *regular expression* is any combination of the following:

- $a \in \Sigma$
- ε the empty string
- \emptyset the language containing no strings at all
- Unions: $A \cup B = A|B$
- Concatenation: $A \circ B = AB$
- Star: A^* (includes ε)



Basics of Regular Expressions

Definition (Regular Expression)

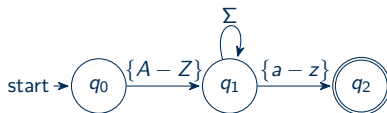
Given an alphabet Σ and regular languages A and B a *regular expression* is any combination of the following:

- $a \in \Sigma$
- ε the empty string
- \emptyset the language containing no strings at all
- Unions: $A \cup B = A|B$
- Concatenation: $A \circ B = AB$
- Star: A^* (includes ε)
- Plus: $A^+ = A \circ A^* = AA^*$



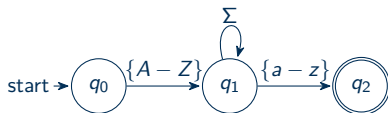
Automaton to Regex

With the alphabet $\Sigma = \{a - z, A - Z, 0 - 9, \$\}$ here are equivalent machines and regular expressions:



Automaton to Regex

With the alphabet $\Sigma = \{a - z, A - Z, 0 - 9, \$\}$ here are equivalent machines and regular expressions:

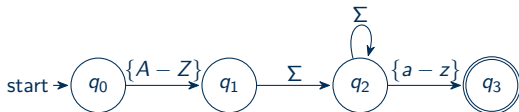


- $\{A - Z\} \circ \Sigma^* \circ \{a - z\}$
- *Python* : `[A - Z][a - zA - Z0 - 9\$]* [a - z]`



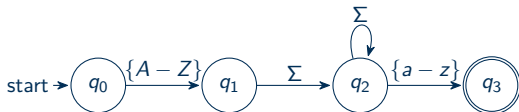
Automaton to Regex

With the alphabet $\Sigma = \{a - z, A - Z, 0 - 9, \$\}$ here are equivalent machines and regular expressions:



Automaton to Regex

With the alphabet $\Sigma = \{a - z, A - Z, 0 - 9, \$\}$ here are equivalent machines and regular expressions:

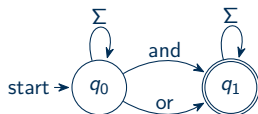


- $\{A - Z\} \circ (\Sigma \circ \Sigma^*) \circ \{a - z\}$
- $\{A - Z\} \circ \Sigma^+ \circ \{a - z\}$
- *Python* : `[A - Z][a - zA - Z0 - 9\$] + [a - z]`



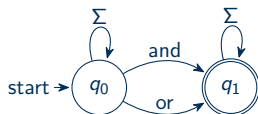
Automaton to Regex

With the alphabet $\Sigma = \{a - z, A - Z, 0 - 9, \$\}$ here are equivalent machines and regular expressions:



Automaton to Regex

With the alphabet $\Sigma = \{a - z, A - Z, 0 - 9, \$\}$ here are equivalent machines and regular expressions:

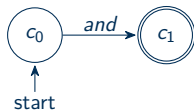


- $\Sigma^* \circ (and \cup or) \circ \Sigma^*$
- $(\Sigma^* \circ (and) \circ \Sigma^*) \cup (\Sigma^* \circ (or) \circ \Sigma^*)$
- *Python* : `[a-zA-Z0-9$]*(and|or)[a-zA-Z0-9$]*`



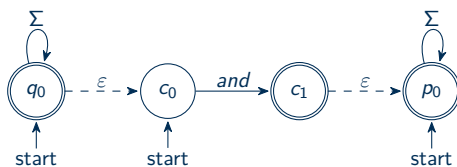
Regular Expressions to NFAs

$$(\Sigma^* \circ (\text{and}) \circ \Sigma^*) \cup (\Sigma^* \circ (\text{or}) \circ \Sigma^*)$$



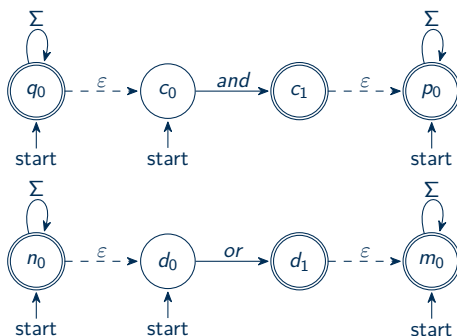
Regular Expressions to NFAs

$$(\Sigma^* \circ (\text{and}) \circ \Sigma^*) \cup (\Sigma^* \circ (\text{or}) \circ \Sigma^*)$$



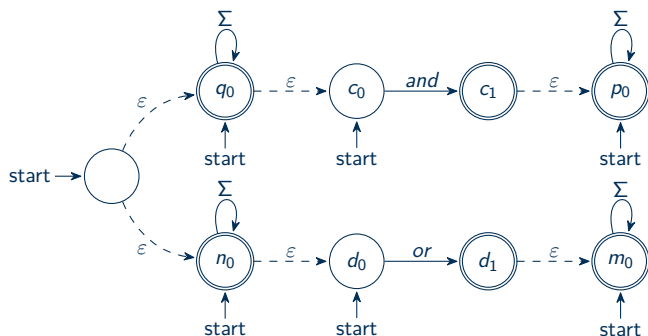
Regular Expressions to NFAs

$$(\Sigma^* \circ (\text{and}) \circ \Sigma^*) \cup (\Sigma^* \circ (\text{or}) \circ \Sigma^*)$$



Regular Expressions to NFAs

$$(\Sigma^* \circ (\text{and}) \circ \Sigma^*) \cup (\Sigma^* \circ (\text{or}) \circ \Sigma^*)$$



Identities

- Order of Operations: $()$, $*$, \circ , \cup
- Distribution: $(A \cup B) \circ C = A \circ C \cup B \circ C$
- Commutative: $A \cup B = B \cup A$
- “Additive” Identity: $A \cup \emptyset = A$ and $A \circ \emptyset = \emptyset$
- “Multiplicative” Identity: $A \circ \varepsilon = A$



Table of Contents

- 1 Regular Expressions
- 2 Generalized NFAs**
- 3 The Pumping Lemma
- 4 Nonregular Languages
- 5 Next Class



Generalized NFA

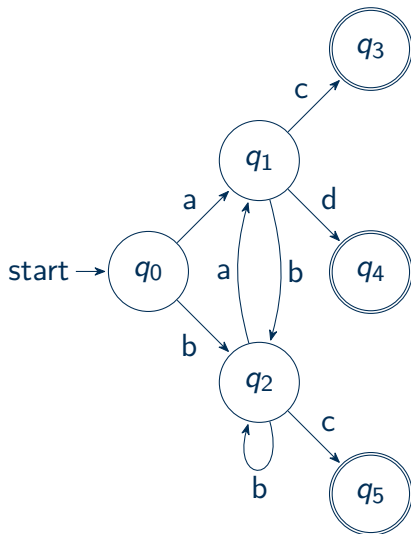
Definition (Generalized NFA)

A *Generalized nondeterministic finite automaton* is a 5-tuple $(Q, \Sigma, \delta, q_{start}, q_{accept})$, where

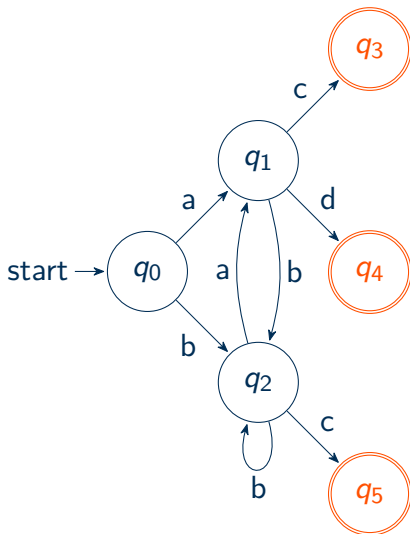
- Q is a finite set of states
- Σ is the input alphabet
- \mathcal{R} is the set of all regular expressions using Σ
- $\delta : (Q \setminus \{q_{accept}\}) \times (Q \setminus \{q_{start}\}) \longrightarrow \mathcal{R}$ is the transition function
- q_{start} is the start state
- q_{accept} is the unique accept state



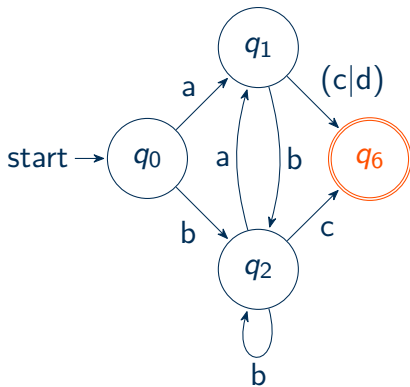
Converting to a GNFA and Reducing (NFA to Regex)



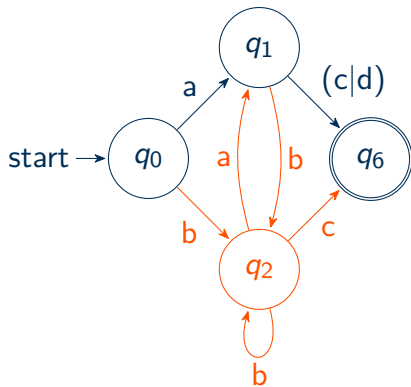
Converting to a GNFA and Reducing (NFA to Regex)



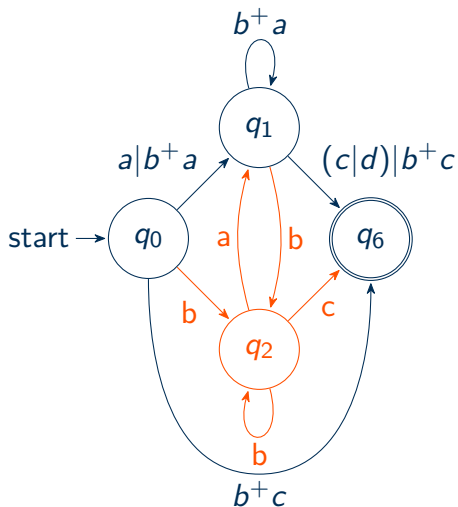
Converting to a GNFA and Reducing (NFA to Regex)



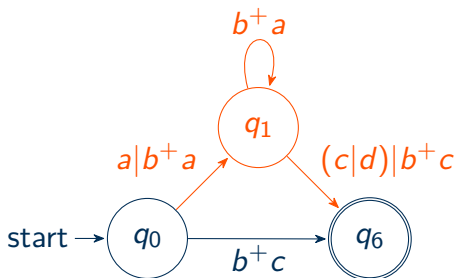
Converting to a GNFA and Reducing (NFA to Regex)



Converting to a GNFA and Reducing (NFA to Regex)

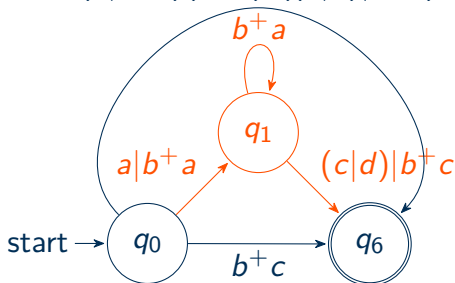


Converting to a GNFA and Reducing (NFA to Regex)

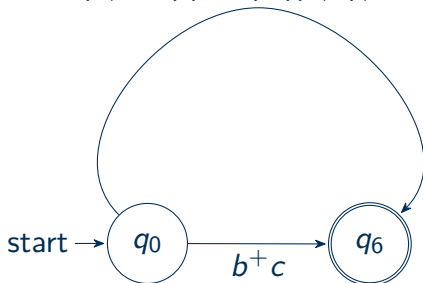


Converting to a GNFA and Reducing (NFA to Regex)

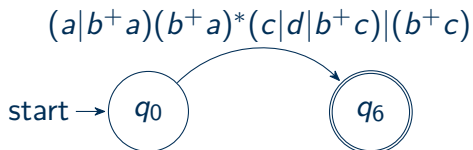
$$(a|b^+a)(b^+a)^*((c|d)|b^+c)$$



Converting to a GNFA and Reducing (NFA to Regex)

$$(a|b^+a)(b^+a)^*((c|d)|b^+c)$$


Converting to a GNFA and Reducing (NFA to Regex)



Regular Languages and Regular Expressions

Theorem

A language is regular if and only if some regular expression describes it.



Table of Contents

- 1 Regular Expressions
- 2 Generalized NFAs
- 3 The Pumping Lemma**
- 4 Nonregular Languages
- 5 Next Class



Statement

Theorem (Pumping Lemma)

If A is a regular language, then there is a number p (the pumping length) such that, if s is any string in A of length at least p , then s may be written in three pieces, $s = xyz$, satisfying the following conditions:

- 1 for each $i \geq 0$, $xy^iz \in A$,
- 2 $|y| > 0$, and
- 3 $|xy| \leq p$.

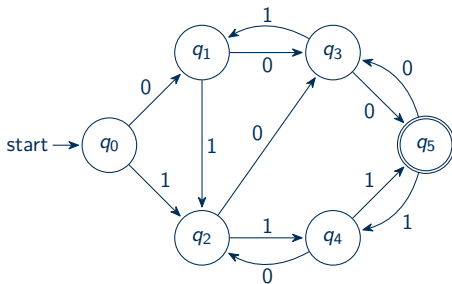


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

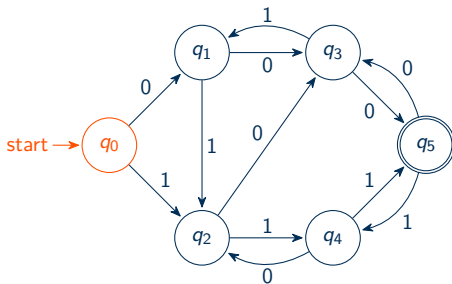


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

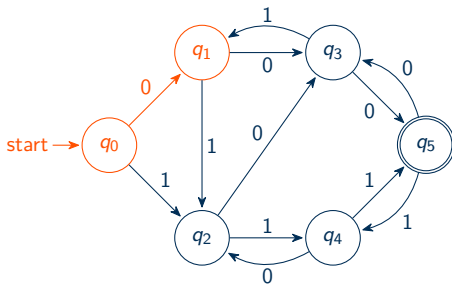


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

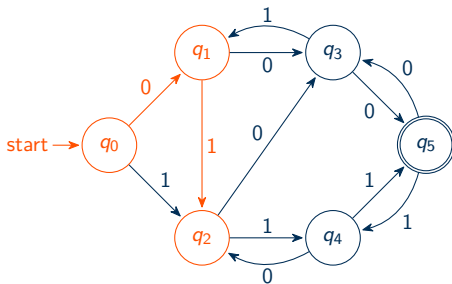


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

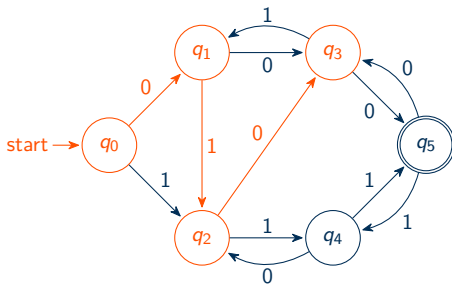


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

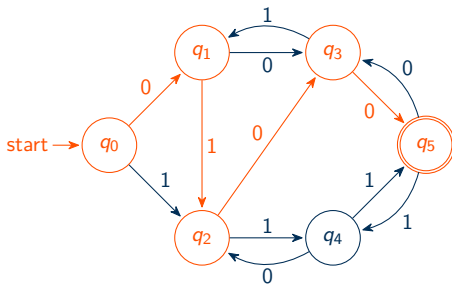


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

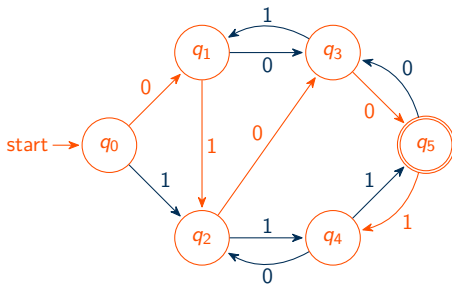


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$s = 01001001111$

with $|s| = 11 > 6 = |Q|$.

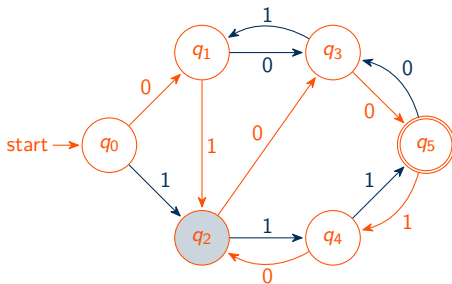


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

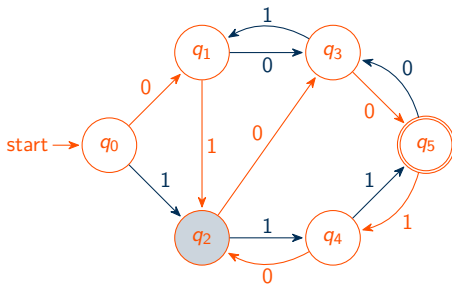


Pumping Example

Let $\Sigma = \{0, 1\}$ and consider

$$s = 01001001111$$

with $|s| = 11 > 6 = |Q|$.

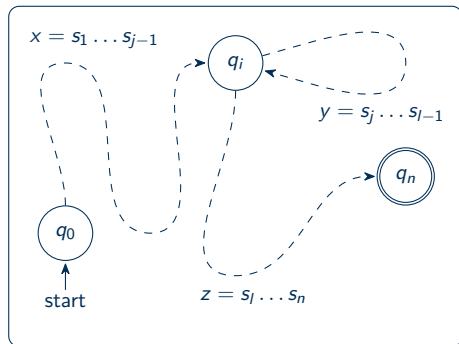


Therefore,

$$x = 01, y = 0010, \&, z = 01111$$



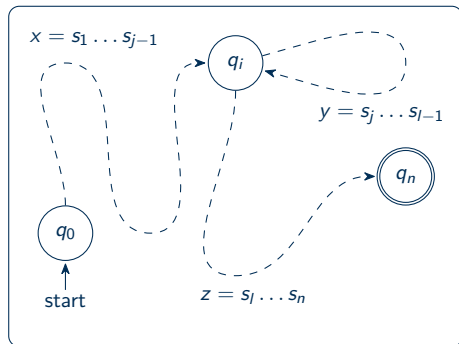
General Idea



- $p = |Q|$



General Idea

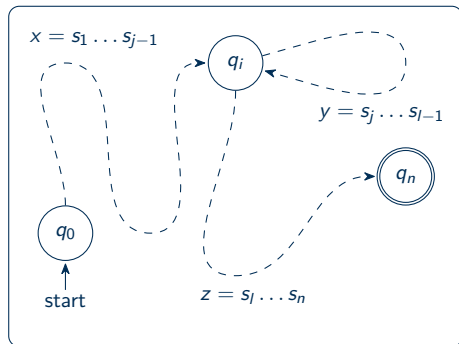


- $p = |Q|$

- $s = s_1 s_2 s_3 \dots s_n \in A, n > p$



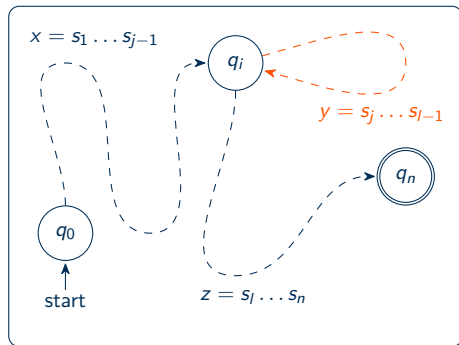
General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop



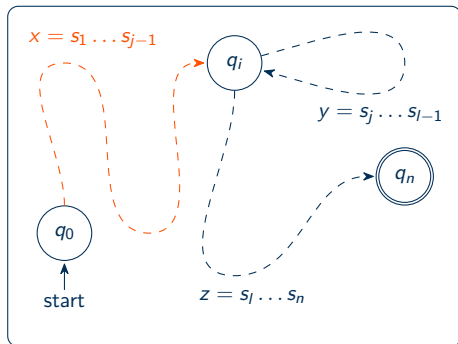
General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop
- Focus on the first loop



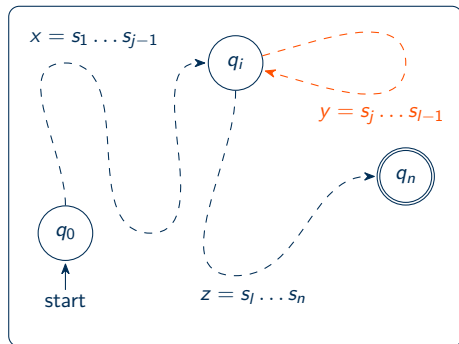
General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop
- Focus on the first loop
- $x = s_1 \dots s_{j-1}$



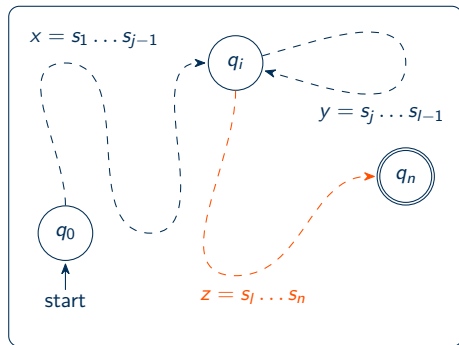
General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop
- Focus on the first loop
- $x = s_1 \dots s_{j-1}$
- $y = s_j \dots s_{l-1}$



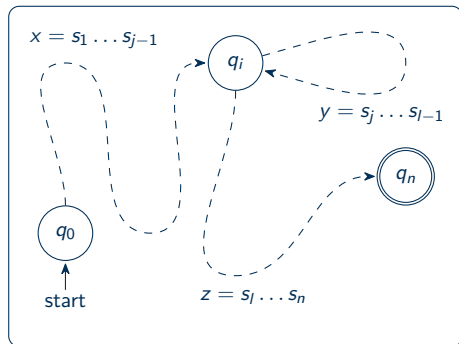
General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop
- Focus on the first loop
- $x = s_1 \dots s_{j-1}$
- $y = s_j \dots s_{l-1}$
- $z = s_l \dots s_n$



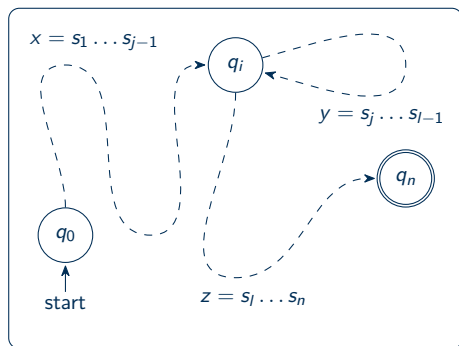
General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop
- Focus on the first loop
- $x = s_1 \dots s_{j-1}$
- $y = s_j \dots s_{l-1}$
- $z = s_l \dots s_n$
- $j \neq l$ implies $|y| > 0$



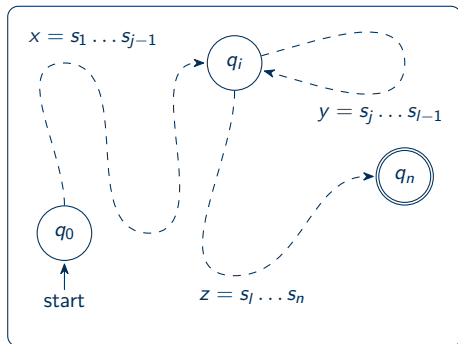
General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop
- Focus on the first loop
- $x = s_1 \dots s_{j-1}$
- $y = s_j \dots s_{l-1}$
- $z = s_l \dots s_n$
- $j \neq l$ implies $|y| > 0$
- y loops so $xy^i z \in A$



General Idea



- $p = |Q|$
- $s = s_1 s_2 s_3 \dots s_n \in A$, $n > p$
- Pigeon holes imply a loop
- Focus on the first loop
- $x = s_1 \dots s_{j-1}$
- $y = s_j \dots s_{l-1}$
- $z = s_l \dots s_n$
- $j \neq l$ implies $|y| > 0$
- y loops so $xy^i z \in A$
- y is the first loop so $|xy| \leq p$



Statement

Theorem (Pumping Lemma)

If A is a regular language, then there is a number p (the pumping length) such that, if s is any string in A of length at least p , then s may be written in three pieces, $s = xyz$, satisfying the following conditions:

- 1 for each $i \geq 0$, $xy^iz \in A$,
- 2 $|y| > 0$, and
- 3 $|xy| \leq p$.



Table of Contents

- 1 Regular Expressions
- 2 Generalized NFAs
- 3 The Pumping Lemma
- 4 Nonregular Languages**
- 5 Next Class



Example of a Nonregular Language

- $A = \{0^n 1^n \mid n \geq 0\}$



Example of a Nonregular Language

- $A = \{0^n 1^n \mid n \geq 0\}$
- let $n > p$ the pumping length



Example of a Nonregular Language

- $A = \{0^n 1^n \mid n \geq 0\}$
- let $n > p$ the pumping length
- $|s| = |0^n 1^n| > 2p$



Example of a Nonregular Language

- $A = \{0^n 1^n \mid n \geq 0\}$
- let $n > p$ the pumping length
- $|s| = |0^n 1^n| > 2p$
- $xy = 0^k$ and $y = 0^j$ with $0 < j \leq k \leq p$



Example of a Nonregular Language

- $A = \{0^n 1^n \mid n \geq 0\}$
- let $n > p$ the pumping length
- $|s| = |0^n 1^n| > 2p$
- $xy = 0^k$ and $y = 0^j$ with $0 < j \leq k \leq p$
- $xy^i z = 0^{n+(i-1)j} 1^n \notin A$ unless $i=1$



Example of a Nonregular Language

- $A = \{0^n 1^n \mid n \geq 0\}$
- let $n > p$ the pumping length
- $|s| = |0^n 1^n| > 2p$
- $xy = 0^k$ and $y = 0^j$ with $0 < j \leq k \leq p$
- $xy^i z = 0^{n+(i-1)j} 1^n \notin A$ unless $i=1$
- $\therefore A$ is nonregular



Second Example

- $R = \{a^r \mid r \in \mathbb{N} \text{ is prime}\}$



Second Example

- $R = \{a^r \mid r \in \mathbb{N} \text{ is prime}\}$
- let p be the pumping length and $n > p + 2$ be prime



Second Example

- $R = \{a^r \mid r \in \mathbb{N} \text{ is prime}\}$
- let p be the pumping length and $n > p + 2$ be prime
- $a^n = xyz$ with $x = a^{n_x}$, $y = a^{n_y}$, and $z = a^{n_z}$



Second Example

- $R = \{a^r \mid r \in \mathbb{N} \text{ is prime}\}$
- let p be the pumping length and $n > p + 2$ be prime
- $a^n = xyz$ with $x = a^{n_x}$, $y = a^{n_y}$, and $z = a^{n_z}$
- $n = n_x + n_y + n_z$, $n_x + n_y \leq p$, and $n_y > 0$



Second Example

- $R = \{a^r \mid r \in \mathbb{N} \text{ is prime}\}$
- let p be the pumping length and $n > p + 2$ be prime
- $a^n = xyz$ with $x = a^{n_x}$, $y = a^{n_y}$, and $z = a^{n_z}$
- $n = n_x + n_y + n_z$, $n_x + n_y \leq p$, and $n_y > 0$
- $n_z = n - (n_x + n_y) \geq n - p > p + 2 - p > 2$



Second Example

- $R = \{a^r \mid r \in \mathbb{N} \text{ is prime}\}$
- let p be the pumping length and $n > p + 2$ be prime
- $a^n = xyz$ with $x = a^{n_x}$, $y = a^{n_y}$, and $z = a^{n_z}$
- $n = n_x + n_y + n_z$, $n_x + n_y \leq p$, and $n_y > 0$
- $n_z = n - (n_x + n_y) \geq n - p > p + 2 - p > 2$
- $k = n_x + n_z > 2$ so that

$$|xy^kz| = n_x + kn_y + n_z = (n_x + n_z) + kn_y = k(1 + n_y)$$

is not of prime length



Second Example

- $R = \{a^r \mid r \in \mathbb{N} \text{ is prime}\}$
- let p be the pumping length and $n > p + 2$ be prime
- $a^n = xyz$ with $x = a^{n_x}$, $y = a^{n_y}$, and $z = a^{n_z}$
- $n = n_x + n_y + n_z$, $n_x + n_y \leq p$, and $n_y > 0$
- $n_z = n - (n_x + n_y) \geq n - p > p + 2 - p > 2$
- $k = n_x + n_z > 2$ so that

$$|xy^kz| = n_x + kn_y + n_z = (n_x + n_z) + kn_y = k(1 + n_y)$$

is not of prime length

- $\therefore R$ is nonregular



Third Example

- $F = \{0^i 1^j \mid i > j\}$



Third Example

- $F = \{0^i 1^j \mid i > j\}$
- $s = 0^{p+1} 1^p$, where p is the pumping length



Third Example

- $F = \{0^i 1^j \mid i > j\}$
- $s = 0^{p+1} 1^p$, where p is the pumping length
- $xy = 0^k$ and $y = 0^j$ with $0 < j \leq k \leq p$



Third Example

- $F = \{0^i 1^j \mid i > j\}$
- $s = 0^{p+1} 1^p$, where p is the pumping length
- $xy = 0^k$ and $y = 0^j$ with $0 < j \leq k \leq p$
- If $i = 0$, then $xy^i z = xz = 0^n 1^p$, with $n \leq p$



Third Example

- $F = \{0^i 1^j \mid i > j\}$
- $s = 0^{p+1} 1^p$, where p is the pumping length
- $xy = 0^k$ and $y = 0^j$ with $0 < j \leq k \leq p$
- If $i = 0$, then $xy^i z = xz = 0^n 1^p$, with $n \leq p$
- $\therefore F$ is nonregular



Table of Contents

- 1 Regular Expressions
- 2 Generalized NFAs
- 3 The Pumping Lemma
- 4 Nonregular Languages
- 5 Next Class



Next Class

- Context-Free Languages



Next Class

- Context-Free Languages
- Chomsky Normal Form



Next Class

- Context-Free Languages
- Chomsky Normal Form
- Pushdown Automata



Regular Expressions and the Pumping Lemma

Dr. Chuck Rocca
roccac@wcsu.edu

<http://sites.wcsu.edu/roccac>

